## CS497, Fall 07

## Assignment for Shai Ben-David's lectures

## Due November 29th, 2007 at 4:30pm

We consider the axiomatic approach to clustering. Recall that

- A distance function over some domain X is a mapping,  $d: X \to \Re^+$  such that, for all  $x, y \in X$ , d(x, y) = d(y, x), and d(x, y) = 0 iff x = y.
- A clustering function for some domain set X is a mapping from the set of distance functions over X to the set of partitions of X.

## • Clustering Axioms:

- 1. A clustering function, F, satisfies  $Scale\ Invariance\ (SI)$  if, for every distance functions, d, d', if there exist a positive real number  $\lambda$ , such that for every  $x, y \in X$ ,  $d'(x, y) = \lambda d(x, y)$ , then F(d) = F(d') (that is, F outputs the same clustering of X when it gets as input the distance function d and when its input is d').
- 2. Given a distance function, d, and a clustering (i.e. a partition) of X,  $C = (C_1, \ldots, C_k)$  (where the  $C_i$ 's are disjoint subsets of X and their union equals X), a distance function, d', is a C-locally consistent transformation of d if there exist positive real numbers,  $\lambda_0 \geq 1$  and  $\lambda_1, \ldots, \lambda_k, \leq 1$  such that for every  $1 \leq i \leq k$  and every x, y, that are members of  $C_i$ ,  $d'(x,y) = \lambda_i d(x,y)$  and for every x, y that belong to different  $C_i$ 's,  $d'(x,y) = \lambda_0 d(x,y)$ . We say that F satisfies Local Consistency (LC) if for every d and every d' that is an F(d)- locally consistent transformation of d, F(d) = F(d').
- 3. F satisfies Richness if for every partition C of X there exists a distance function d such that F(d) = C.
- 4. For a natural number. k, F satisfies k-Richness (k-R) if for every partition C of X into k subsets (that is,  $C = (C_1, \ldots C_k)$ ), there exists a distance function d such that F(d) = C.

Consider clusterings into 2 clusters and the axioms: SI, LC and 2-R.

- 1. Define a clustering function that satisfies all of these three axioms.
- 2. For each pair of these axioms define a clustering function that satisfies these two axioms but not the third.