CS 240 – Data Structures and Data Management

Module 8: Range-Searching in Dictionaries for Points

A. Jamshidpey N. Nasr Esfahani M. Petrick Based on lecture notes by many previous cs240 instructors

David R. Cheriton School of Computer Science, University of Waterloo

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Range searches

- \bullet So far: search(k) looks for one specific item.
- **New operation RangeSearch:** look for all items that fall within a given range.
	- Input: A range, i.e., an interval $I = (x, x')$ It may be open or closed at the ends.
	- \triangleright Want: Report all KVPs in the dictionary whose key k satisfies $k \in I$

Example: 5 10 11 17 19 33 45 51 55 59

RangeSearch((18,45]) should return {19*,* 33*,* 45}

Range searches

- \bullet So far: search(k) looks for one specific item.
- **New operation RangeSearch:** look for all items that fall within a given range.
	- Input: A range, i.e., an interval $I = (x, x')$ It may be open or closed at the ends.
	- \triangleright Want: Report all KVPs in the dictionary whose key k satisfies $k \in I$

Example: 5 10 11 17 19 33 45 51 55 59

RangeSearch((18,45]) should return {19*,* 33*,* 45}

- Let s be the **output-size**, i.e., the number of items in the range.
- We need $\Omega(s)$ time simply to report the items.
- Note that sometimes $s = 0$ and sometimes $s = n$; we therefore keep it as a separate parameter when analyzing the run-time.

Range searches in existing dictionary realizations

Unsorted list/array/hash table: Range search requires Ω(n) time: We have to check for each item explicitly whether it is in the range.

Sorted array: Range search in A can be done in $O(\log n + s)$ time:

 $RangeSearch((18, 45) | 5 | 10 | 11 | 17 | 19 | 33 | 45 | 51 | 55 | 59)$ ↑i ↑i $\overline{\uparrow}$ i'

- Using binary search, find *i* such that x is at (or would be at) $A[i]$.
- Using binary search, find i' such that x' is at (or would be at) $A[i']$
- Report all items $A[i+1...i'-1]$
- Report $A[i]$ and $A[i']$ if they are in range

BST: Range searches can similarly be done in time $O(\text{height}+s)$ time. We will see this in detail later.

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Multi-Dimensional Data

Range searches are of special interest for **multi-dimensional data**. **Example**: flights that leave between 9am and noon, and cost \$300-\$500

- **•** Each item has *d* **aspects** (coordinates): $(x_0, x_1, \cdots, x_{d-1})$
- Aspect values (x_i) are numbers
- Each item corresponds to a point in d -dimensional space
- We concentrate on $d = 2$, i.e., points in Euclidean plane

Multi-dimensional Range Search

(Orthogonal) d**-dimensional range search**: Given a **query rectangle** A, find all points that lie within A.

The time for range searches depends on how the points are stored.

- Could store a 1-dimensional dictionary (where the key is some combination of the aspects.) Problem: Range search on one aspect is not straightforward
- Could use one dictionary for each aspect Problem: inefficient, wastes space
- **Better idea**: Design new data structures specifically for points.
	- \blacktriangleright Quadtrees
	- \blacktriangleright kd-trees
	- \blacktriangleright range-trees
- **Assumption**: Point are in **general position**: No two x -coordinates or y -coordinates are the same.
	- \triangleright Simplifies presentation; data structures can be generalized.

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Quadtrees

We have *n* points $S = \{(x_0, y_0), (x_1, y_1), \cdots, (x_{n-1}, y_{n-1})\}$ in the plane.

We need a **bounding box** R: a square containing all points.

- Can find R by computing minimum and maximum x and y values in S
- The width/height of R should be a power of 2

Structure (and also how to *build* the quadtree that stores S):

- Root r of the quadtree is associated with region R
- \bullet If R contains 0 or 1 points, then root r is a leaf that stores point.
- Else split: Partition R into four equal subsquares (**quadrants**) R_{NF} , R_{NW} , R_{SW} , R_{SE}
- Partition S into sets S_{NF} , S_{NW} , S_{SW} , S_{SE} of points in these regions.
	- ▶ Convention: Points on split lines belong to right/top side
- Recursively build tree T_i for points S_i in region R_i and make them children of the root.

Quadtree Dictionary Operations

- **•** search: Analogous to binary search trees and tries
- o insert:
	- \blacktriangleright Search for the point
	- \triangleright Split the leaf while there are two points in one region
- delete:
	- \blacktriangleright Search for the point
	- \blacktriangleright Remove the point
	- If its parent has only one point left: delete parent (and recursively all ancestors that have only one point left)

Quadtree Insert example

Quadtree Insert example

Quadtree Range Search

```
QTree::RangeSearch(r ← root, A)
r: The root of a quadtree, A: Query-rectangle
1. R \leftarrow region associated with node r
2. if (R \subseteq A) then // inside node
3. report all points below r; return
4. if (R ∩ A is empty) then // outside node
5. return
              // The node is a boundary node, recurse
6. if (r is a leaf) then
7. p \leftarrow point stored at r
8. if p is in A return p
9. else return
10. for each child v of r do
11. QTree::RangeSearch(v, A)
```
Note: We assume here that each node of the quadtree stores the associated square. Alternatively, these could be re-computed during the search (space-time tradeoff).

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Quadtree range search example

Quadtree range search example

Quadtree Analysis

- Crucial for analysis: what is the height of a quadtree?
	- \triangleright Can have very large height for bad distributions of points

spread factor of points S :

 $\beta(\mathcal{S}) = \frac{\text{sidelength of } R}{\text{minimum distance between points in } \mathcal{S}}$

- \triangleright Can show: height *h* of quadtree is in $\Theta(\log \beta(S))$
- Complexity to build initial tree: $\Theta(nh)$ worst-case
- Complexity of range search: $\Theta(nh)$ worst-case even if the answer is \emptyset
- But in practice much faster.

Quad-tree of 1-dimensional points:

"Points:" 0 9 12 14 24 26 28

Quad-tree of 1-dimensional points:

Quad-tree of 1-dimensional points:

[0,32)

Quad-tree of 1-dimensional points: $(0, 32)$ "Points:" 0 9 12 14 24 26 28 (in base-2) 00000 01001 01100 01110 11000 11010 11100

Same as a pruned trie

Same as a pruned trie

Quadtrees also easily generalize to higher dimensions (octrees, etc.) but are rarely used beyond dimension 3.

Quadtree summary

- Very easy to compute and handle
- No complicated arithmetic, only divisions by 2 (bit-shift!) if the width/height of R is a power of 2
- Space potentially wasteful, but good if points are well-distributed
- Variation: We could stop splitting earlier and allow up to S points in a leaf (for some fixed bound S).
- Variation: Use quad-tree to store pixelated images.

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kd-trees

- \bullet We have *n* points $S = \{(x_0, y_0), (x_1, y_1), \cdots, (x_{n-1}, y_{n-1})\}$
- Quadtrees split square into quadrants regardless of where points are
- (Point-based) kd-tree idea: Split the region such that (roughly) half the point are in each subtree
- Each node of the kd-tree keeps track of a **splitting line** in one dimension (2D: either vertical or horizontal)
- **Convention:** Points on split lines belong to right/top side
- Continue splitting, switching between vertical and horizontal lines, until every point is in a separate region

(There are alternatives, e.g., split by the dimension that has better aspect ratios for the resulting regions. No details.)

For ease of drawing, we will usually not show the associated regions.

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kd-tree example

For ease of drawing, we will usually not show the associated regions.

Constructing kd-trees

Build kd-tree with initial split by x on points S :

- If $|S|$ < 1 create a leaf and return.
- Else $X :=$ quick-select($S, \lfloor \frac{n}{2} \rfloor$ $\frac{n}{2}$]) (select by *x*-coordinate)
- **•** Partition S by x-coordinate into $S_{x} \times x$ and $S_{x} \times x$
	- $\left[\frac{n}{2}\right]$ points on one side and $\left[\frac{n}{2}\right]$ points on the other. (Recall: Points in general position.)
- Create left subtree recursively (splitting by y) for points $S_{x} \leq x$.
- Create right subtree recursively (splitting by y) for points $S_{x>X}$.

Building with initial y-split symmetric.

Constructing kd-trees

Run-time:

- Find X and partition S in $\Theta(n)$ expected time using randomized-quick-select.
- Both subtrees have \approx *n*/2 points.

 $T^{\text{exp}}(n) = 2T^{\text{exp}}(n/2) + O(n)$ (sloppy recurrence)

This resolves to $\Theta(n \log n)$ expected time.

• This can be reduced to $\Theta(n \log n)$ worst-case time by pre-sorting (no details).

Height: $h(1) = 0$, $h(n) \leq h(\lceil n/2 \rceil) + 1$.

• This resolves to $O(\log n)$ (specifically $\lceil \log n \rceil$).

kd-tree Dictionary Operations

- search (for single point): as in binary search tree using indicated coordinate
- *insert*: search, insert as new leaf.
- o *delete*: search, remove leaf.

Problem: After insert or delete, the split might no longer be at exact median and the height is no longer guaranteed to be $\lceil \log_2 n \rceil$.

We can maintain $O(\log n)$ height by occasionally re-building entire subtrees. (No details.) But rangeSearch will be slower.

kd-trees do not handle insertion/deletion well.

kd-tree Range Search

• Range search is exactly as for quad-trees, except that there are only two children.

```
kdTree::RangeSearch(r ← root, A)
r: The root of a kd-tree, A: Query-rectangle
1. R \leftarrow region associated with node r
 2. if (R \subseteq A) then report all points below r; return
 3. if (R ∩ A is empty) then return
 4. if (r is a leaf) then
 5. p \leftarrow point stored at r
 6. if p is in A return p
 7. else return
 8. for each child v of r do
 9. kdTree::RangeSearch(v, A)
```
- We assume again that each node stores its associated region.
- To save space, we could instead pass the region as a parameter and compute the region for each child using the splitting line.

kd-tree: Range Search Example

kd-tree: Range Search Example

Red: Search stopped due to $R \cap A = \emptyset$. Green: Search stopped due to $R \subseteq A$.

kd-tree: Range Search Complexity

- The complexity is $O(s + Q(n))$ where
	- \triangleright s is the output-size
	- \triangleright $Q(n)$ is the number of "boundary" nodes (blue):
		- \star kdTree::RangeSearch was called.
		- \star Neither $R \subset A$ nor $R \cap A = \emptyset$
- **Can show:** Q(n) satisfies the following recurrence relation (no details):

 $Q(n)$ < 2 $Q(n/4)$ + $Q(1)$

- This solves to $Q(n) \in O(\sqrt{n})$ $\overline{n})$
- Therefore, the complexity of range search in kd-trees is $O(s+1)$ √ $\overline{n})$

kd-tree: Higher Dimensions

- kd-trees for d-dimensional space:
	- \triangleright At the root the point set is partitioned based on the first coordinate
	- \triangleright At the subtrees of the root the partition is based on the second coordinate
	- At depth $d-1$ the partition is based on the last coordinate
	- \triangleright At depth d we start all over again, partitioning on first coordinate
- **Storage**: O(n)
- **Height**: O(log n)
- **Construction time**: O(n log n)
- Range search time: $O(s + n^{1-1/d})$

This assumes that d is a constant.

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Towards Range Trees

- Both Quadtrees and kd-trees are intuitive and simple.
- But: both may be very slow for range searches.
- Quadtrees are also potentially wasteful in space.

New idea: **Range trees**

- Somewhat wasteful in space, but much faster range search.
- **Tree of trees** (a multi-level data structure)

Every node v of T stores an **associate structure** $T(v)$:

- Let $P(v)$ be all points in subtree of v in T (including point at v)
- \bullet $T(v)$ stores $P(v)$ in a balanced binary search tree, using the y-coordinates as key
- Note: v is not necessarily the root of $T(v)$

Range tree example

Range Tree Space Analysis

- Primary tree uses $O(n)$ space.
- Associate tree $T(v)$ uses $O(|P(v)|)$ space (where $P(v)$ are the points at descendants of v in T)
- **Key insight**: $w \in P(v)$ means that v is an ancestor of w in T
	- Every node w has $O(\log n)$ ancestors in T (Recall that we assume T to be balanced.)
	- Every node w belongs to $O(\log n)$ sets $P(v)$
	- ► So $\sum_{v} |P(v)| \leq \sum_{w} #$ {ancestors of $w\} \in O(n \log n)$

Therefore: A range-tree with *n* points uses $O(n \log n)$ space.

Range Trees Operations

- \bullet search: search by x-coordinate in T
- \bullet insert: First, insert point by x-coordinate into T. Then, walk back up to the root and insert the point by y-coordinate in all associate trees $T(v)$ of nodes v on path to the root.
- o *delete*: analogous to insertion
- **Problem:** We want the binary search trees to be balanced.
	- \triangleright This makes *insert/delete* very slow if we use AVL-trees. (A rotation at v changes $P(v)$ and hence requires a re-build of $T(v)$.)
	- ▶ **Solution**: Completely rebuild highly unbalanced subtrees (no details)

Range Trees Operations

- \bullet search: search by x-coordinate in T
- \bullet insert: First, insert point by x-coordinate into T. Then, walk back up to the root and insert the point by y-coordinate in *all* associate trees $T(v)$ of nodes v on path to the root.
- o *delete*: analogous to insertion
- **Problem:** We want the binary search trees to be balanced.
	- \triangleright This makes *insert/delete* very slow if we use AVL-trees.
	- (A rotation at v changes $P(v)$ and hence requires a re-build of $T(v)$.)
	- ▶ **Solution**: Completely rebuild highly unbalanced subtrees (no details)
- range-search: search by x-range in T .

Among found points, search by y-range in some associated trees.

Must understand first: How to do (1-dimensional) range search in binary search tree?

BST Range Search recursive

```
BST::RangeSearch-recursive(r \leftarrow root, x_1, x_2)r: root of a binary search tree, x_1, x_2: search keys
Returns keys in subtree at r that are in range [x_1, x_2]1. if r = \text{NIL} then return
2. if x_1 \le r \cdot \text{key} \le x_2 then
3. L \leftarrow \text{BST::RangeSearch-recursive}(r.\text{left}, x_1, x_2)4. R \leftarrow \text{BST::RangeSearch-recursive}(r.\text{right}, x_1, x_2)5. return L \cup r. { key } ∪ R
6. if r \cdot \text{key} < x_1 then
7. return BST::RangeSearch-recursive(r.right, x<sub>1</sub>, x<sub>2</sub>)
8. if r \cdot key > x_2 then
 9. return BST::RangeSearch-recursive(r.left, x<sub>1</sub>, x<sub>2</sub>)
```
Keys are reported in in-order, i. e., in sorted order.

Note: Search from 39 was unnecessary: *all* its descendants are in range.

BST Range Search re-phrased

- Search for left boundary x_1 : this gives path P_1
- Search for right boundary x_2 : this gives path P_2
- This partitions T into three groups: outside, on, or between the paths.
- **•** This classification will be crucial later!

BST Range Search re-phrased

 \bullet boundary nodes: nodes in P_1 or P_2

- \triangleright For each boundary node, test whether it is in the range.
- outside nodes: nodes that are left of P_1 or right of P_2
	- \blacktriangleright These are *not* in the range, we do not visit them.
- inside nodes: nodes that are right of P_1 and left of P_2
	- \triangleright We keep a list of the topmost inside nodes.
	- \blacktriangleright All descendants of such a node are in the range. For a 1d range search, report them.

BST Range Search analysis

Assume that the binary search tree is balanced:

- Search for path $P_1: O(\log n)$
- Search for path P_2 : $O(\log n)$
- \bullet $O(\log n)$ boundary nodes
- \bullet We spend $O(1)$ time on each.

 \bigcirc

BST Range Search analysis

Assume that the binary search tree is balanced:

- Search for path $P_1: O(\log n)$
- Search for path P_2 : $O(\log n)$
- \bullet $O(\log n)$ boundary nodes
- \bullet We spend $O(1)$ time on each.
- 52 35 15 9) (27) 35 42 39 37 46 49 74 65 (60) 97 86) (99)
- We spend $O(1)$ time per topmost inside node v.
	- \blacktriangleright They are children of boundary nodes, so this takes $O(\log n)$ time.
- \bullet For 1d range search, also report the descendants of v .
	- ▶ We have $\sum_{\tiny \bf v}$ _{topmost inside} #{descendants of $\bm v\} \leq$ *s* since subtrees of topmost inside nodes are disjoint. So this takes time $O(s)$ overall.

Run-time for 1d range search: $O(\log n + s)$. This is no faster overall, but topmost inside nodes will be important for 2d range search.

Range Trees: Range Search

Range search for $A = [x_1, x_2] \times [y_1, y_2]$ is a two stage process:

- Perform a range search (on the x-coordinates) for the interval $[x_1, x_2]$ in primary tree T ($BST::RangeSearch(T, x_1, x_2)$)
- **•** Get boundary and topmost inside nodes as before.
- For every boundary node, test to see if the corresponding point is within the region A.
- For every topmost inside node v:
	- Exect $P(v)$ be the points in the subtree of v in T.
	- \triangleright We know that all x-coordinates of points in $P(v)$ are within range.
	- Recall: $P(v)$ is stored in $T(v)$.
	- \triangleright To find points in $P(v)$ where the y-cordinates are within range as well, perform a range search in $T(v)$: $BST::RangeSearch(T(v), y_1, y_2)$

Range Trees: Range Search Run-time

- \bullet $O(\log n)$ time to find boundary and topmost inside nodes in primary tree.
- There are $O(\log n)$ such nodes.
- $O(\log n + s_v)$ time for each topmost inside node v, where s_v is the number of points in $T(v)$ that are reported
- Two topmost inside nodes have no common point in their trees \Rightarrow every point is reported in at most one associate structure \Rightarrow \sum_{V} topmost inside $\mathsf{s}_V \leq \mathsf{s}$

Time for range search in range-tree is proportional to

$$
\sum_{v \text{ topmost inside}} (\log n + s_v) \in O(\log^2 n + s)
$$

(There are ways to make this even faster. No details.)
Range Trees: Higher Dimensions

 \bullet Range trees can be generalized to *d*-dimensional space.

Space Construction time Range search time $O(s + (\log n))$

$$
O(n (\log n)^{d-1})
$$

$$
O(n (\log n)^d)
$$

$$
O(s + (\log n)^d)
$$

(Note: *d* is considered to be a constant.) $\bigwedge_{\alpha \neq \alpha}$

responding second-level structures. In each second-level structure we select 109

Range Trees: Higher Dimensions

• Range trees can be generalized to d-dimensional space.

Space $O(n(\log n)^{d-1})$ kd-trees: $O(n)$ **Construction time** $O(n(\log n)^d)$ kd-trees: $O(n \log n)$ $\mathsf{Range\,\, search\,\, time } \quad O(s + (\log n)^d) \quad \mathsf{k} \mathsf{d}\text{-trees: } O(s + n^{1-1/d})$

(Note: *d* is considered to be a constant.) $\bigwedge_{\alpha \neq \alpha}$

 \bullet Space/time trade-off compared to kd-trees. P (*v*) of a node $\frac{1}{2}$ this first-level tree, consists of the points of the

responding second-level structures. In each second-level structure we select 109

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Range search data structures summary

• Quadtrees

- \triangleright simple (also for dynamic set of points)
- \triangleright work well only if points evenly distributed
- \triangleright wastes space for higher dimensions
- o kd-trees
	- \blacktriangleright linear space
	- Inical space
• range search time $O(\sqrt{n}+s)$
	- \blacktriangleright inserts/deletes destroy balance and range search time (no simple fix)
- **•** range-trees
	- range search time $O(\log^2 n + s)$
	- \blacktriangleright wastes some space
	- \blacktriangleright inserts/deletes destroy balance (can fix this with occasional rebuilt)

Convention: Points on split lines belong to right/top side.

