

# CS 341: ALGORITHMS

## Lecture 8: dynamic programming II

Readings: see website

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# ROD CUTTING

A "REAL" DYNAMIC PROGRAMMING EXAMPLE

Input:

$$n = 4$$

$n$ : length of rod

length $i$	1	2	3	4
price $p_i$	1	5	8	9

$p_1, \dots, p_n$ :  $p_i =$  price of a rod of length  $i$

Output:

Max **income** possible by cutting the rod of length  $n$  into any number of **integer** pieces (maybe **no** cuts)

All ways of cutting  
a rod of length 4

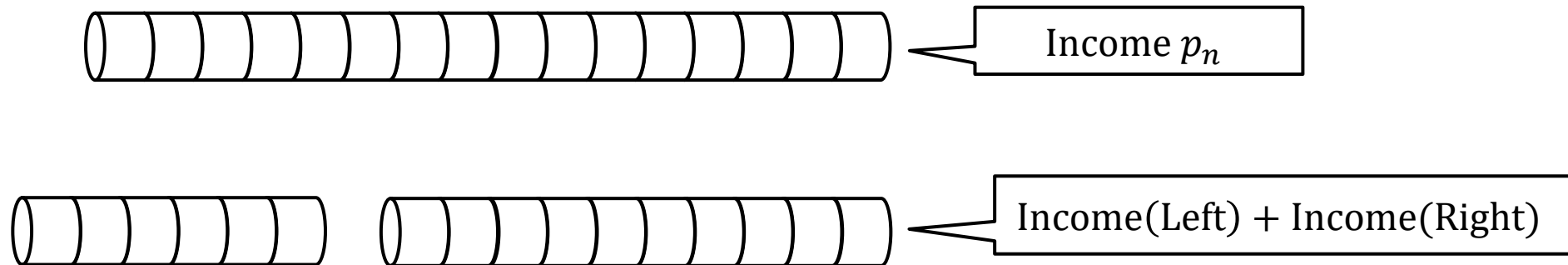


Example output: 10



# DYNAMIC PROGRAMMING APPROACH

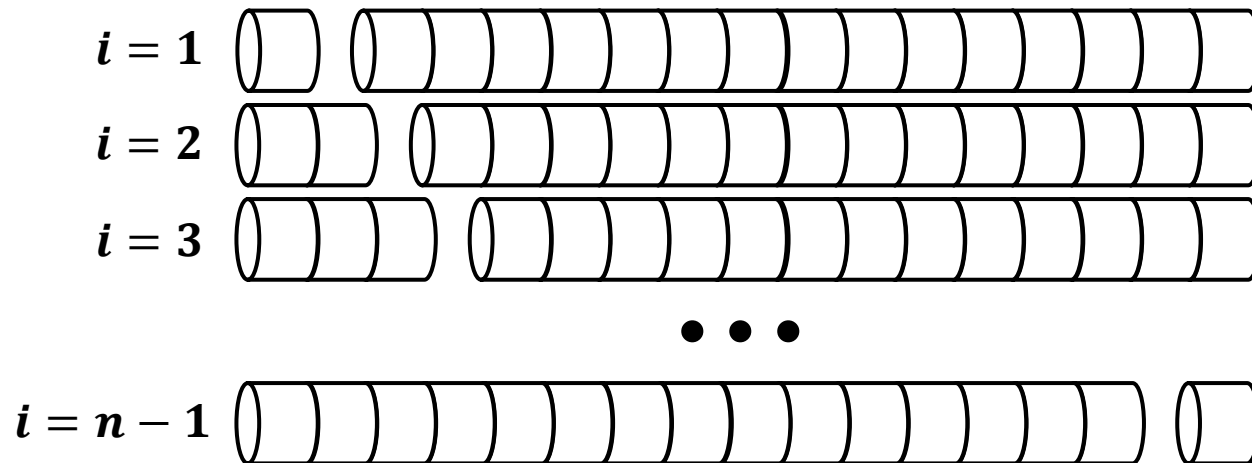
- High level idea (**can just think recursively to start**)
  - Given a rod of length  $n$
  - Either make no cuts,  
or make a cut and **recurse** on the remaining parts



- **Where** should we cut?

# DYNAMIC PROGRAMMING APPROACH

- Try **all ways** of making that cut
  - I.e., try a cut at positions  $1, 2, \dots, n - 1$
  - In each case, recurse on two rods  $[0, i]$  and  $[i, n]$
- Take the max income over **all possibilities** (each  $i$  / no cut)



Optimal substructure:  
Max income from two  
rods w/sizes  $i$  and  $n - i$

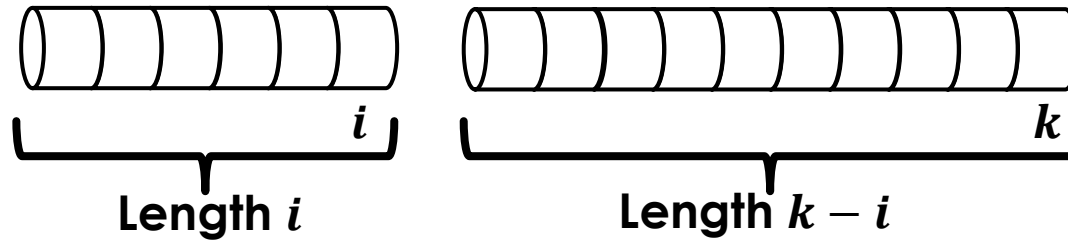
... is max income we can  
get from the rod size  $i$

+ max income we can  
get from the rod size  $n - i$

# RECURRENCE RELATION

Critical step! Must define what  $M(k)$  means, semantically!

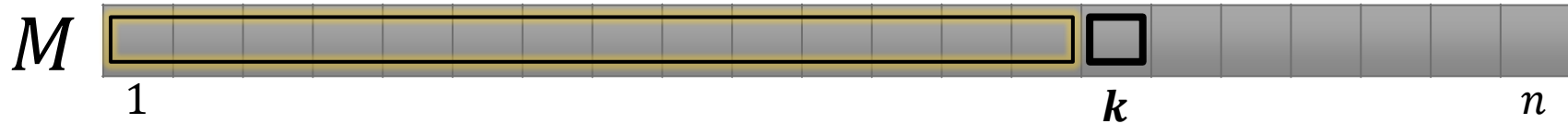
- Define  $M(k)$  = maximum income for rod of length  $k$
- If we do **not** cut the rod, max income is  $p_k$
- If we **do** cut a rod **at**  $i$



- max income is  $M(i) + M(k - i)$
- Want to maximize this **over all**  $i$ 
  - $\max_i \{M(i) + M(k - i)\}$  (for  $0 < i < k$ )
- $M(k) = \max\{p_k, \max_{1 \leq i \leq k-1} \{M(i) + M(k - i)\}\}$

# COMPUTING SOLUTIONS BOTTOM-UP

- **Recurrence:**  $M(k) = \max\{p_k, \max_{1 \leq i \leq k-1}\{M(i) + M(k-i)\}\}$
- Compute **table** of solutions:  $M[1..n]$



- Dependencies: **entry  $k$**  depends on
  - $M[i] \rightarrow M[1..(k-1)]$
  - $M[k-i] \rightarrow M[1..(k-1)]$
  - All of these dependencies are  $< k$
- So we can fill in the table entries in order  $1..n$

Recall, semantically,  $M(k)$  = maximum income for rod of length  $k$

$$\text{Recurrence: } M(k) = \max\{p_k, \max_{1 \leq i \leq k-1}\{M(i) + M(k-i)\}\}$$

```
1 RodCutting(n, p[1..n])
2   M = new array[1..n]
3
4   // compute each entry M[k]
5   for k = 1..n
6     M[k] = p[k] // current best = no cuts
7
8     // try each cut in 1..(k-1)
9     for i = 1..(k-1)
10      M[k] = max(M[k], M[i] + M[k-i])
11
12   return M[n]
```

Time complexity  
(unit cost)?

$\Theta(n^2)$

# MISCELLANEOUS TIPS

- Building a table of results bottom-up is what makes an algorithm DP
- There is a similar concept called **memoization**
  - But, for the purposes of this course, we want to see bottom-up table filling!
- Base cases are **critical**
  - They often completely determine the answer
  - Try setting  $f[0]=f[1]=0$  in FibDP...



# DP SOLUTION TO 0-1 KNAPSACK



Suppose the optimal solution **O** does not include this

Then with the **O** must achieve the best possible value using only items 1-3.

What if the camera IS included in **O**?

**Item 4**  
  
**Camera**  
 Weight: 1 kg  
 Value: 10008

**Item 3**  
  
**Laptop**  
 Weight: 3 kg  
 Value: 20008



**Item 2**  
**Necklace**  
 Weight: 4 kg  
 Value: 40008

**Item 1**  
  
**Vase**  
 Weight: 5 kg  
 Value: 45008

Problem: output maximum value one can get from taking  $\leq 7\text{kg}$ , out of these **four items**.

**Subproblem:** output max value for  $\leq 7\text{kg}$  out of these **three items**

This is a **smaller subproblem:** reduced # of items

Goal: create **recurrence relation** to describe optimal solution in terms of subproblems

Let  $P[i, m]$  = maximum profit using any subset of the items **1..i**, with weight limit **m**

Note:  $P[n, M]$  (=  $P[4, 7]$ ) is the **optimal profit**

If **O does not include** the camera, then  $P[4, 7]$  = best we can do with the **first three** items and weight limit **7kg**

That is,  $P[4, 7] = P[3, 7]$

Suppose the optimal solution  $O$  includes this

Then with the remaining  $7\text{kg} - w_4 = 6\text{kg}$ , and items 1-3,  $O$  must achieve the best possible value.



**Item 4**  
Camera  
Weight: 1 kg  
Value: 1000\$



**Item 3**  
Laptop  
Weight: 3 kg  
Value: 2000\$



**Item 2**  
Necklace  
Weight: 4 kg  
Value: 4000\$

**Item 1**  
Vase  
Weight: 1 kg  
Value: 1000\$



How to evaluate **both possibilities**: in & not in  $O$ ?

Problem: output maximum value one can get from taking  $\leq 7\text{kg}$ , out of these **four items**.

**Subproblem**: output max value for  $\leq 6\text{kg}$  out of these **three items**

This is a **smaller subproblem**: reduced weight and # of items

Recall:  $P[i, m]$  = maximum profit using any subset of the items  $1..i$ , with weight limit  $m$

If  $O$  includes the camera, then  $P[4, 7] = p_4 +$  best we can do with the first **three items** and weight limit  $7\text{kg} - w_4 = 6\text{kg}$

$$\text{That is, } P[4, 7] = p_4 + P[3, 6]$$

Recall:  $P[i, m]$  = maximum profit using any subset of the items  $1..i$ , with weight limit  $m$

**In general:**

If **O does not include** the camera, then  $P[4, 7]$  = best we can do with the **first three** items and weight limit **7kg**

$$P[4, 7] = P[3, 7]$$

$$P[i, m] = P[i - 1, m]$$

If **O includes** the camera, then  $P[4, 7] = p_4$  + best we can do with the **first three** items and weight limit **7kg -  $w_4$  = 6kg**

$$P[4, 7] = p_4 + P[3, 7 - w_4]$$

$$P[i, m] = p_i + P[i - 1, m - w_i]$$

**Try both** and take the better result! (**How?**)

$$P[4, 7] = \max\{P[3, 7], p_4 + P[3, 7 - w_4]\}$$

$$P[i, m] = \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\}$$

Note that  $\max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\}$  **is only valid if**  $i \geq 2$  and  $m \geq w_i$

What to do when  $i = 1$  or  $m < w_i$ ? These are **special cases**.

**General case:**  $i \geq 2$  and  $m \geq w_i$

Since  $m \geq w_i$ , we **can carry item i**.  
 $P[i, m] = \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\}$

**Special case 1:**  $i \geq 2$  and  $m < w_i$

Since  $m < w_i$ , we **cannot carry item i**.  
So,  $P[i, m] = P[i - 1, m]$ .

**Special case 2:**  $i = 1$  and  $m \geq w_i$

Since  $i \leq 1$ , we **can only use item 1**.  
Since  $m \geq w_i$ , we **can carry item 1**.  
So,  $P[i, m] = p_i$ .

**Special case 3:**  $i = 1$  and  $m < w_i$

Since  $i \leq 1$ , we **can only use item 1**.  
Since  $m < w_i$ , we **cannot carry item 1**.  
So,  $P[i, m] = 0$ .

**Recurrence Relation:**

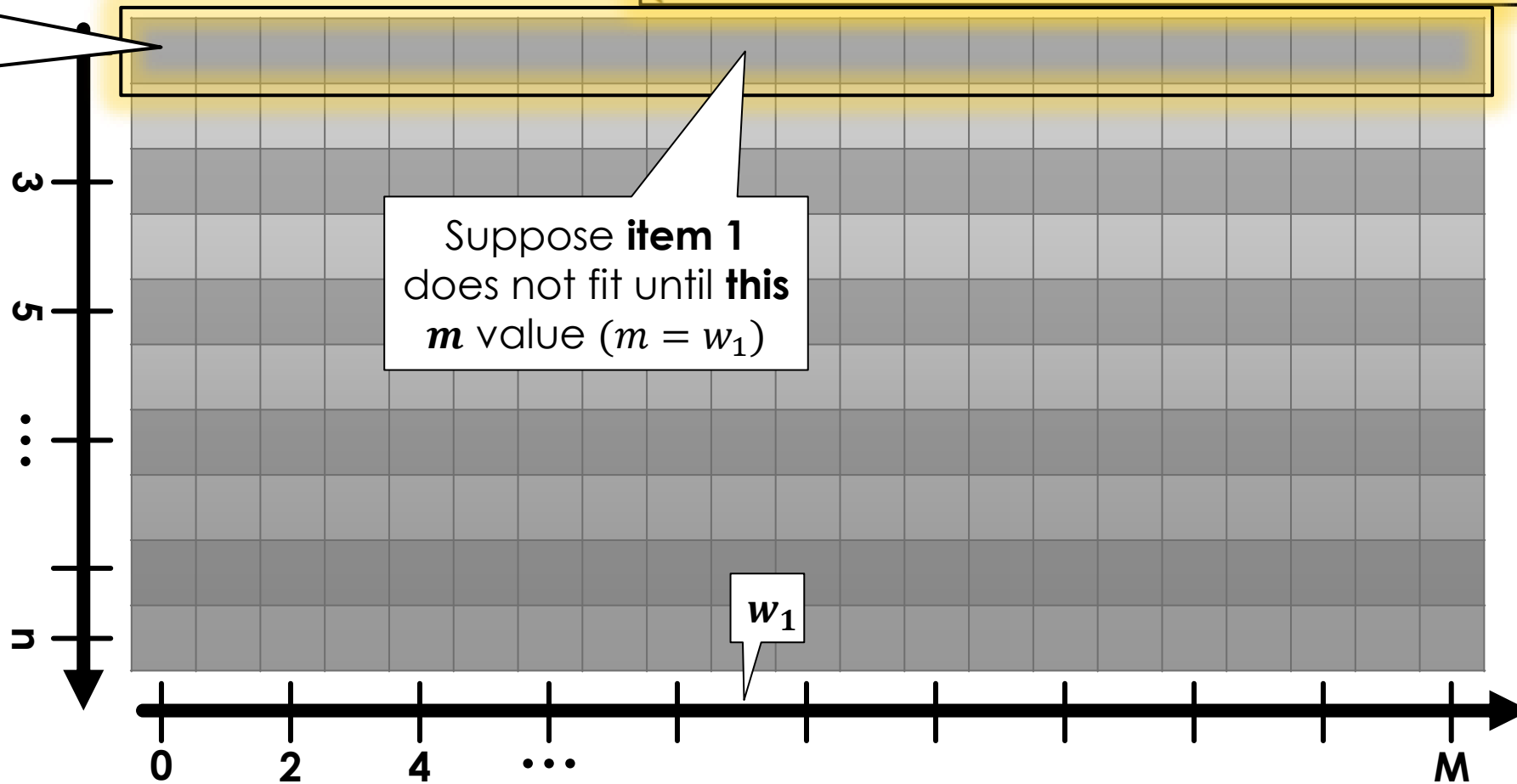
$$P[i, m] = \begin{cases} \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\} & \text{if } i \geq 2, m \geq w_i \\ P[i - 1, m] & \text{if } i \geq 2, m < w_i \\ p_1 & \text{if } i = 1, m \geq w_1 \\ 0 & \text{if } i = 1, m < w_1. \end{cases}$$

# FILLING THE ARRAY:

$$P[i, m] = \begin{cases} \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\} & \text{if } i \geq 2, m \geq w_i \\ P[i - 1, m] & \text{if } i \geq 2, m < w_i \\ p_1 & \text{if } i = 1, m \geq w_1 \\ 0 & \text{if } i = 1, m < w_1 \end{cases}$$

No data dependencies on any other array cells.

***i***-axis  
(can use items in 1..*i*)

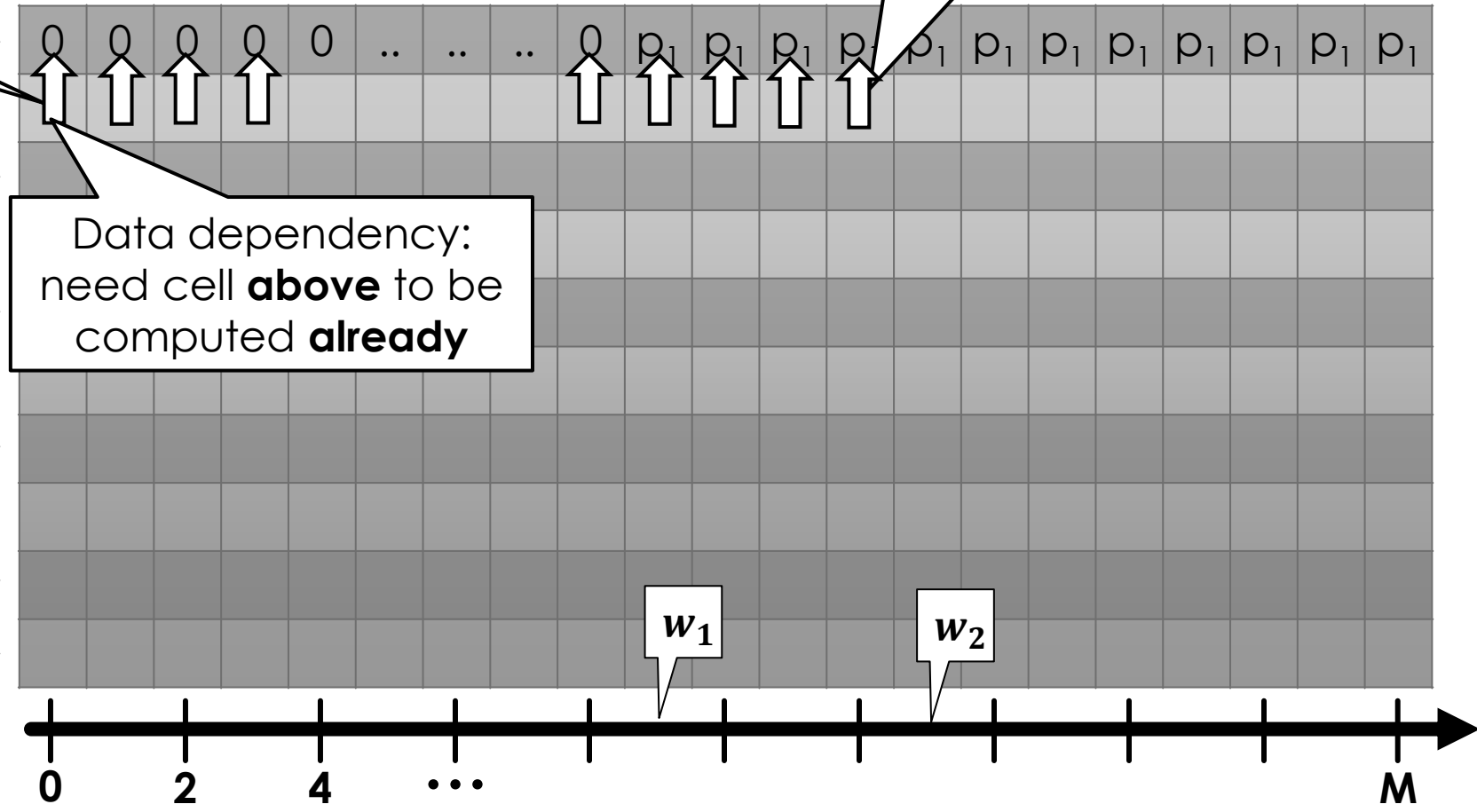


***m***-axis (remaining weight limit)

# FILLING THE ARRAY:

$$P[i, m] = \begin{cases} \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\} & \text{if } i \geq 2, m \geq w_i \\ P[i - 1, m] & \text{if } i \geq 2, m < w_i \\ p_1 & \text{if } i = 1, m \geq w_1 \\ 0 & \text{if } i = 1, m < w_1. \end{cases}$$

Suppose  $m < w_2$   
from here



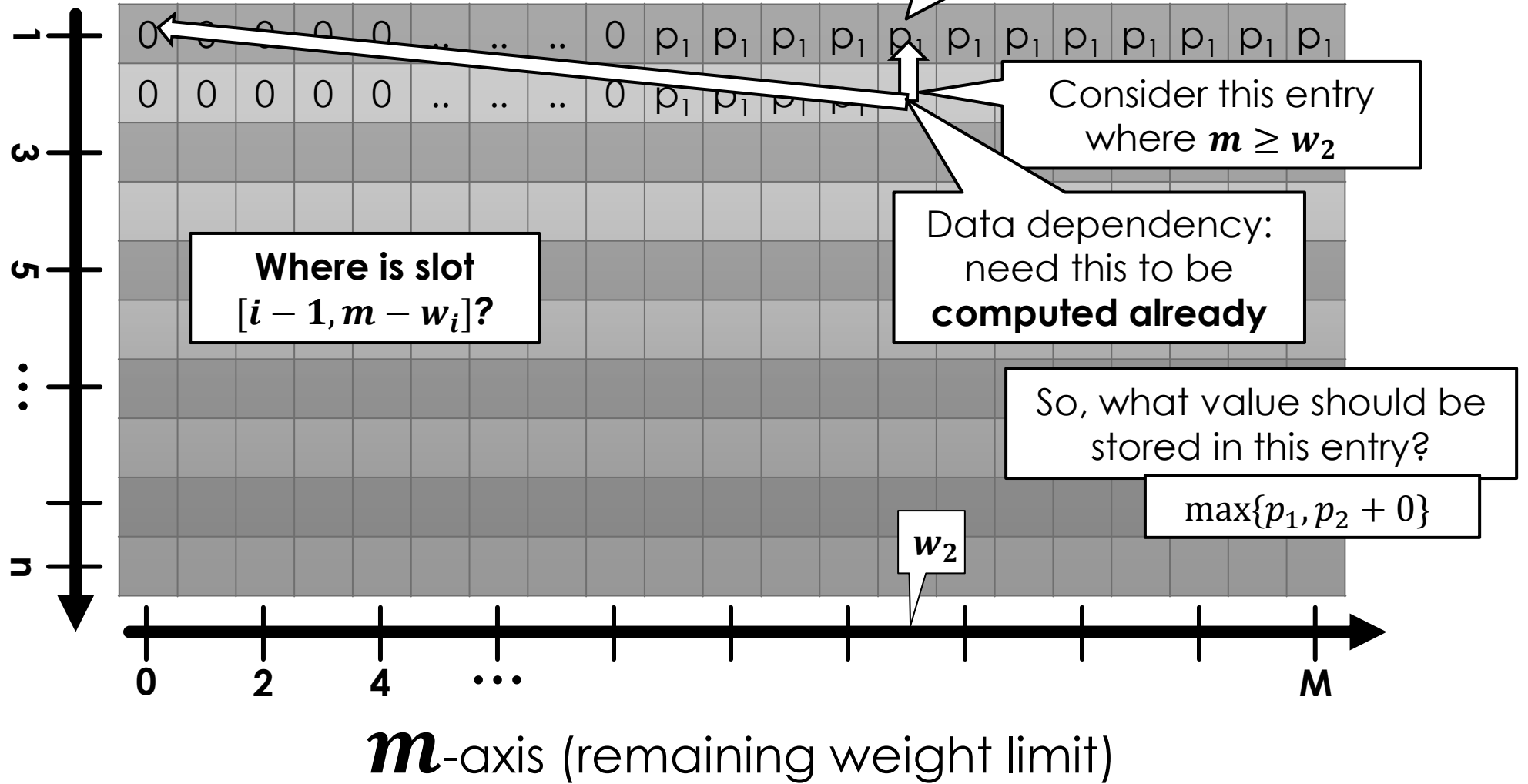
$i$ -axis  
(can use  
items in  $1..i$ )

$m$ -axis (remaining weight limit)

# FILLING THE ARRAY:

$$P[i, m] = \begin{cases} \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\} & \text{if } i \geq 2, m \geq w_i \\ P[i - 1, m] & \text{if } i \geq 2, m < w_i \\ p_1 & \text{if } i = 1, m \geq w_1 \\ 0 & \text{if } i = 1, m < w_1 \end{cases}$$

***i***-axis  
(can use items in 1..*i*)

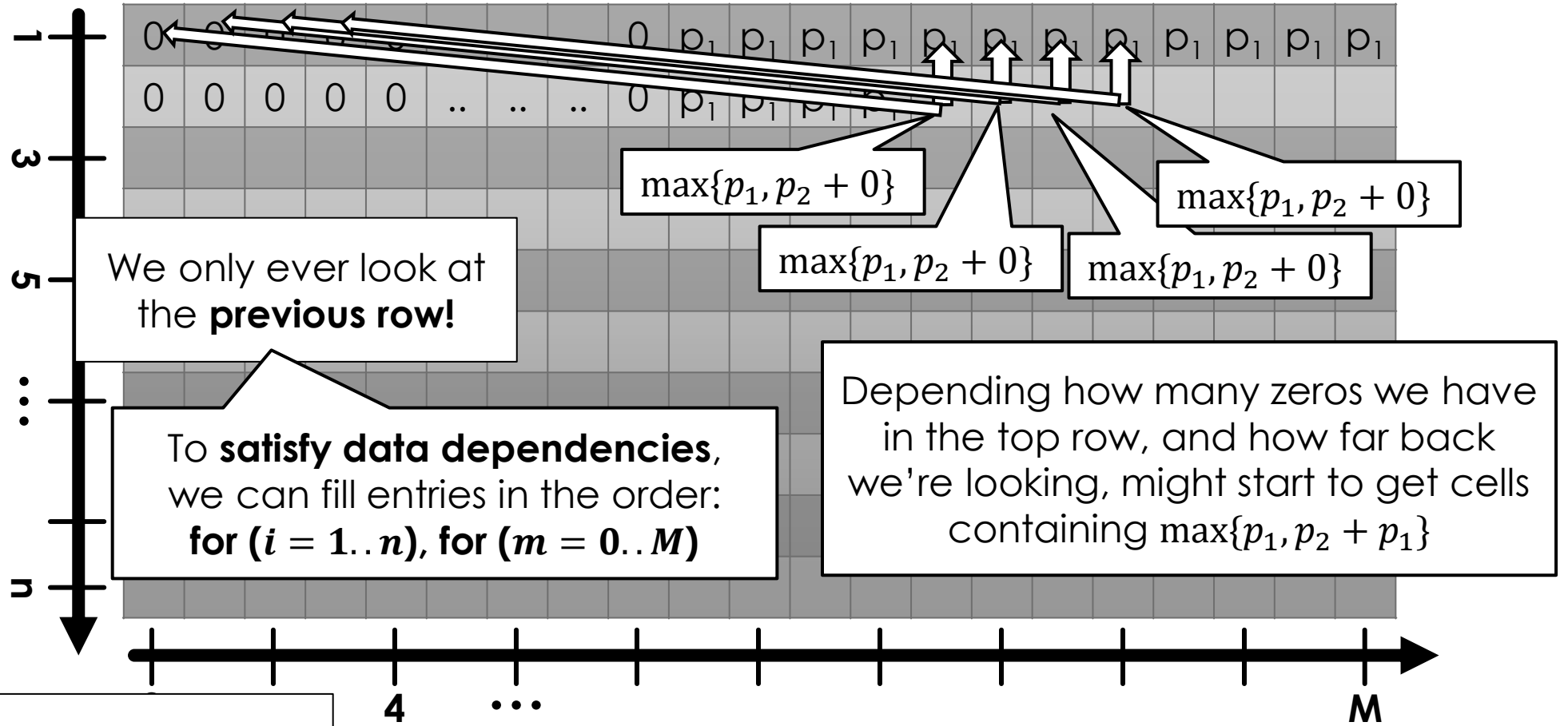




# FILLING THE ARRAY:

$$P[i, m] = \begin{cases} \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\} & \text{if } i \geq 2, m \geq w_i \\ P[i - 1, m] & \text{if } i \geq 2, m < w_i \\ p_1 & \text{if } i = 1, m \geq w_1 \\ 0 & \text{if } i = 1, m < w_1 \end{cases}$$

***i***-axis  
(can use items in 1..*i*)



**Would the following fill-order work?**  
for (*i* = 1..*n*), for (*m* = *M*..0)

***m***-axis (remaining weight limit)

# EXERCISE

$$\begin{aligned} & \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\} && \text{if } i \geq 2, m \geq w_i \\ & P[i - 1, m] && \text{if } i \geq 2, m < w_i \end{aligned}$$

Suppose we have profits 1, 2, 3, 5, 7, 10, weights 2, 3, 5, 8, 13, 16, and capacity 30.

The following table is computed:

		<i>m</i> -axis (weight)																														
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30
<i>i</i> -axis (items)	1	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1														
	2	0	0	1	2	2	3	3	3	3	3	3	3	3	3	3	3	3														
	3	0	0	1	2	2	3	3	4	5	5	6	6	6	6	6	6	?														
	4																															
	5																															
	6																															

$P[3, 16] =$  ? What do you think ?

# EXERCISE

$$\begin{aligned} & \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\} && \text{if } i \geq 2, m \geq w_i \\ & P[i - 1, m] && \text{if } i \geq 2, m < w_i \end{aligned}$$

Suppose we have profits 1, 2, 3, 5, 7, 10, weights 2, 3, 5, 8, 13, 16, and capacity 30.

The following table is computed:

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		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30
<i>i</i> -axis (items)	1	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	2	0	0	1	2	2	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3
	3	0	0	1	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6
	4	0	0	1	2	2	3	3	4	5	5	6	7	7	8	8	9	10	10	11	11	11	11	11	11	11	11	11	11	11	11	11
	5	0	0	1	2	2	3	3	4	5	5	6	7	7	8	8	9	10	10	11	11	11	12	12	13	14	14	15	15	16	17	17
	6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

$$P[3, 16] = \max\{P[2, 16], P[2, 11] + 3\} = \max\{3, 3 + 3\} = 6.$$

**Recall:** To satisfy data dependencies, we can fill entries in the order:  
**for (i = 1..n), for (m = 0..M)**

$$P[i, m] = \begin{cases} \max\{P[i-1, m], p_i + P[i-1, m-w_i]\} & \text{if } i \geq 2, m \geq w_i \\ P[i-1, m] & \text{if } i \geq 2, m < w_i \\ p_1 & \text{if } i = 1, m \geq w_1 \\ 0 & \text{if } i = 1, m < w_1. \end{cases}$$

```
1 Knapsack01(p[1..n], w[1..n], M)
2   P = new table[1..n][0..M]
3
4   // base cases where i=1
5   for m = 0..M
6     if m < w[1] then
7       P[1][m] = 0
8     else
9       P[1][m] = p[1]
10
11  // general cases where i>=2
12  for i = 2..n
13    for m = 0..M
14      if m < w[i] then
15        P[i][m] = P[i-1][m]
16      else
17        P[i][m] = max(P[i-1][m],
18                     p[i] + P[i-1][m-w[i]])
19
20  return P[n][M]
```

Read & return optimal **profit**

How about the optimal **items**?

# OUTPUTTING CONTENTS OF THE OPTIMAL KNAPSACK ○

The optimal solution is computed by tracing back through the table.

For the previous example, consisting of profits 1, 2, 3, 5, 7, 10, weights 2, 3, 5, 8, 13, 16, and capacity 30, the optimal solution is ???

		weight limit remaining																															
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	
Items you can take	1	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
	2	0	0	1	2	2	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	
	3	0	0	1	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	
	4	0	0	1	2	2	3	3	4	5	5	6	7	7	8	8	9	10	10	11	11	11	11	11	11	11	11	11	11	11	11	11	
	5	0	0	1	2	2	3	3	4	5	5	6	7	7	8	8	9	10	10	11	11	11	11	12	12	13	14	14	15	15	16	17	17
	6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	18

8 > 6 so ○ **must** take item 4

**Same profit** using items 1..4 or 1..5. So, **there exists** an optimal solution ○ that does **not** use **item 5!** Consider ○.

Best profit for remaining items + weight

18 > 17, so **any** optimal solution **must** take item 6

Start at optimal profit

remaining weight = 14

**Exercise:** continue, and determine which other items **are in ○**

# OUTPUTTING CONTENTS OF THE OPTIMAL KNAPSACK ○

The optimal solution is computed by tracing back through the table.

For the previous example, consisting of profits 1, 2, 3, 5, 7, 10, weights 2, 3, 5, 8, 13, 16, and capacity 30, the optimal solution is  $[1, 1, 0, 1, 0, 1]$ .

		weight limit remaining																														
		0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30
Items you can take	1	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
	2	0	0	1	2	2	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3
	3	0	0	1	2	2	3	3	4	5	5	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6
	4	0	0	1	2	2	3	3	4	5	5	6	7	7	8	8	9	10	10	11	11	11	11	11	11	11	11	11	11	11	11	11
	5	0	0	1	2	2	3	3	4	5	5	6	7	7	8	8	9	10	10	11	11	11	12	12	13	14	14	15	15	16	17	17
	6	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

```

1 Knapsack01_Items(p[1..n], w[1..n], M, P)
2   x = new array[1..n]
3   i = n
4   m = M
5
6   while i > 1
7       if P[i][m] == P[i-1][m]
8           x[i] = 0
9           i = i - 1
10      else
11          x[i] = 1
12          m = m - w[i]
13          i = i - 1
14
15  x[1] = (P[i][m] > 0) ? 1 : 0
16  return x

```

Runtime given  $P$ ?

$\Theta(n)$

Is this linear time?

More on this soon...

# Complexity of the Algorithm

Suppose we assume the unit cost model, so additions / subtractions take time  $O(1)$ .

The complexity to construct the table is  $\Theta(nM)$

Is this a polynomial-time algorithm, as a function of the size of the problem instance?

We have

$$\text{size}(I) = \log_2 M + \sum_{i=1}^n \log_2 w_i + \sum_{i=1}^n \log_2 p_i.$$

Note in particular that  $M$  is exponentially large compared to  $\log_2 M$ . So constructing the table is not a polynomial-time algorithm, even in the unit cost model.

What would the complexity of a recursive algorithm be?

So the DP alg is faster when there are **many item types**, but **small weight limit**

Huge **n** is fine, but **M** should be in **poly(n)** to get an asymptotic improvement

DP takes  **$\Theta(nM)$  time**, which could be  **$\Theta(n2^n)$  for huge M**

**n** must be **very small**

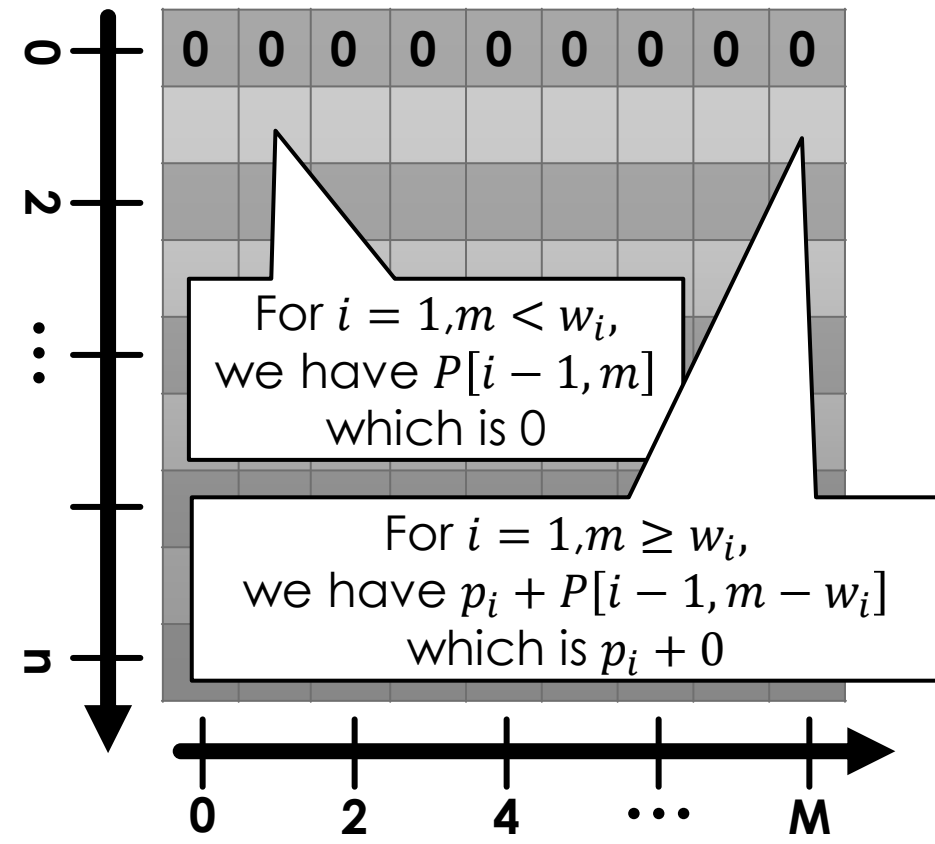
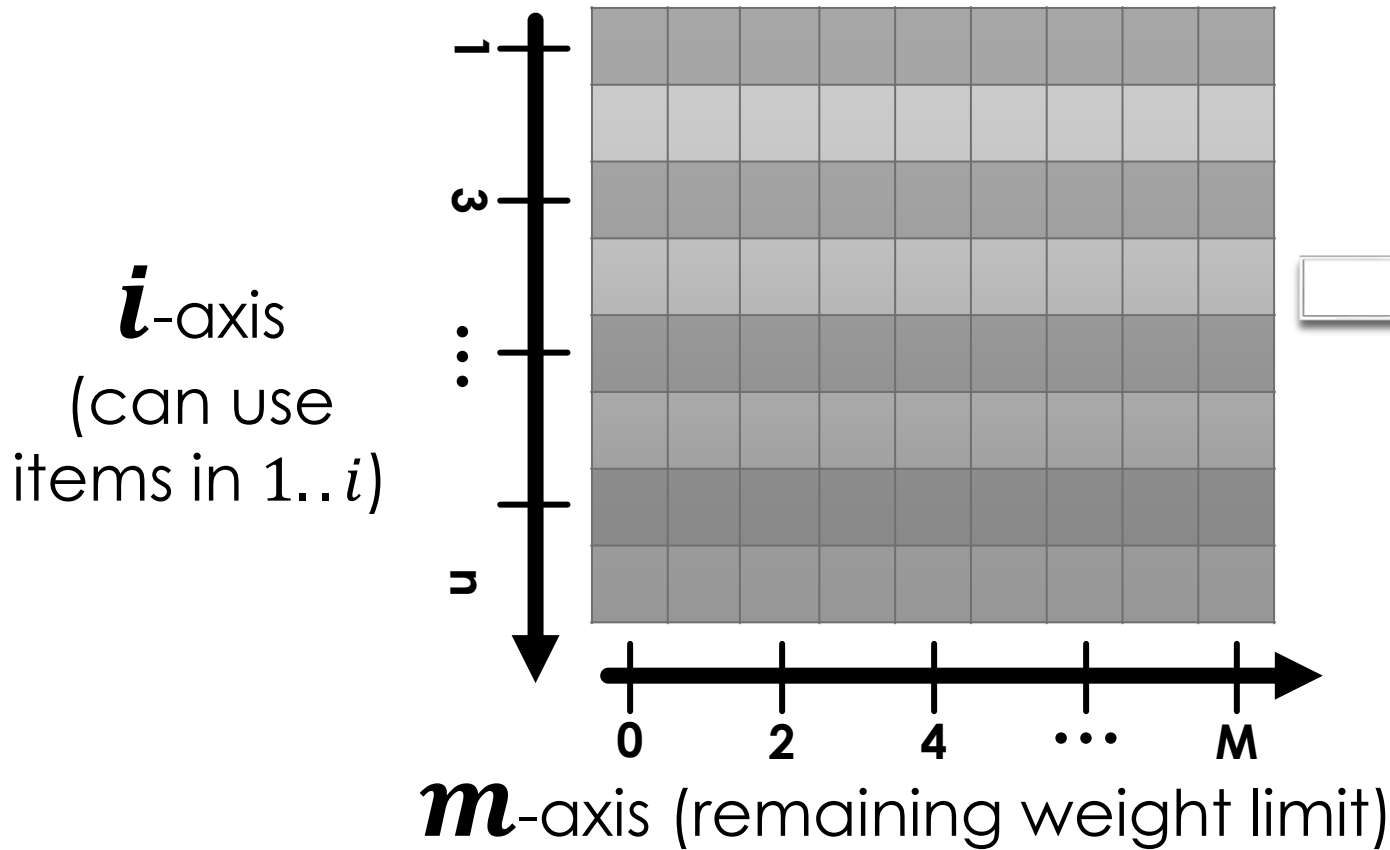
A recursive algorithm would take  **$\sim\Theta(2^n)$  time**



# SIMPLIFYING BASE CASES

$$P[i, m] = \begin{cases} \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\} & \text{if } i \geq 1, m \geq w_i \\ P[i - 1, m] & \text{if } i \geq 1, m < w_i \\ 0 & \text{if } i = 0 \end{cases}$$

$$P[i, m] = \begin{cases} \max\{P[i - 1, m], p_i + P[i - 1, m - w_i]\} & \text{if } i \geq 2, m \geq w_i \\ P[i - 1, m] & \text{if } i \geq 2, m < w_i \\ p_1 & \text{if } i = 1, m \geq w_1 \\ 0 & \text{if } i = 1, m < w_1. \end{cases}$$



```

1 Knapsack01(p[1..n], w[1..n], M)
2   P = new table[0..n][0..M] containing zeros
3
4   for i = 1..n
5     for m = 0..M
6       if m < w[i] then
7         P[i][m] = P[i-1][m]
8       else
9         P[i][m] = max(P[i-1][m],
10                      p[i] + P[i-1][m-w[i]])
11
12   return P[n][M]

```

We get much simpler code!

Compare:

```

1 Knapsack01(p[1..n], w[1..n], M)
2   P = new table[1..n][0..M]
3
4   // base cases where i=1
5   for m = 0..M
6     if m < w[1] then
7       P[1][m] = 0
8     else
9       P[1][m] = p[1]
10
11  // general cases where i>=2
12  for i = 2..n
13    for m = 0..M
14      if m < w[i] then
15        P[i][m] = P[i-1][m]
16      else
17        P[i][m] = max(P[i-1][m],
18                     p[i] + P[i-1][m-w[i]])
19
20  return P[n][M]

```

# SAVING SPACE

```
1 Knapsack01(p[1..n], w[1..n], M)
2   P = new table[0..n][0..M] containing zeros
3
4   for i = 1..n
5     for m = 0..M
6       if m < w[i] then
7         P[i][m] = P[i-1][m]
8       else
9         P[i][m] = max(P[i-1][m],
10                      p[i] + P[i-1][m-w[i]])
11
```

```
1 Knapsack01(p[1..n], w[1..n], M)
2   Pprev = new array[0..M] containing zeros
3   P = new array[0..M] containing zeros
4
5   for i = 1..n
6     swap P and Pprev
7     for m = 0..M
8       if m < w[i] then
9         P[m] = Pprev[m]
10      else
11        P[m] = max(Pprev[m], p[i] + Pprev[m-w[i]])
12
13   return P[M]
```

We never look at  $P[i-2][\dots]$ .  
Just keep two arrays  
representing  $P[i]$  and  $P[i-1]$

Space complexity changes  
from  $O(mn)$  to  $O(m)$



# COIN CHANGING

# Coin Changing

There **is** a denomination with **unit value!**

## Problem 5.2

### Coin Changing

**Instance:** A list of coin denominations,  $1 = d_1, d_2, \dots, d_n$ , and a positive integer  $T$ , which is called the **target sum**.

**Find:** An  $n$ -tuple of non-negative integers, say  $A = [a_1, \dots, a_n]$ , such that  $T = \sum_{i=1}^n a_i d_i$  and such that  $N = \sum_{i=1}^n a_i$  is minimized.

What subproblems should be considered?

What table of values should we fill in?

In 0-1 knapsack, we only considered **two subproblems** in our recurrence: **taking an item, or not.**

Here we can do **more than** use a coin denomination or not.

Let  $N[i, t]$  denote the optimal solution to the subproblem consisting of the first  $i$  coin denominations  $d_1, \dots, d_i$  and target sum  $t$ .

Exploring: some sensible base case(s)?

General case:

What are the different ways we could use coin denomination  $d_i$ ?  
What subproblems / solutions should we use?

Final recurrence relation

Let  $N[i, t]$  denote the optimal solution to the subproblem consisting of the first  $i$  coin denominations  $d_1, \dots, d_i$  and target sum  $t$ .

Also  $N[i, 0] = 0$  for all  $i$

Since  $d_1 = 1$ , we immediately have  $N[1, t] = t$  for all  $t$ .

General case:

What are the different ways we could use coin denomination  $d_i$ ?

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Final recurrence relation

Let  $N[i, t]$  denote the optimal solution to the subproblem consisting of the first  $i$  coin denominations  $d_1, \dots, d_i$  and target sum  $t$ .

Also  $N[i, 0] = 0$  for all  $i$

Since  $d_1 = 1$ , we immediately have  $N[1, t] = t$  for all  $t$ .

For  $i \geq 2$ , the number of coins of denomination  $d_i$  is an integer  $j$  where  $0 \leq j \leq \lfloor t/d_i \rfloor$ .

If we use  $j$  coins of denomination  $d_i$ , then the target sum is reduced to  $t - jd_i$ , which we must achieve using the first  $i - 1$  coin denominations.

Thus we have the following recurrence relation:

$$N[i, t] = \begin{cases} \min\{j + N[i - 1, t - jd_i] : 0 \leq j \leq \lfloor t/d_i \rfloor\} & \text{if } i \geq 2 \\ t & \text{if } i = 1 \text{ OR } t = 0 \end{cases}$$



# FILLING THE ARRAY

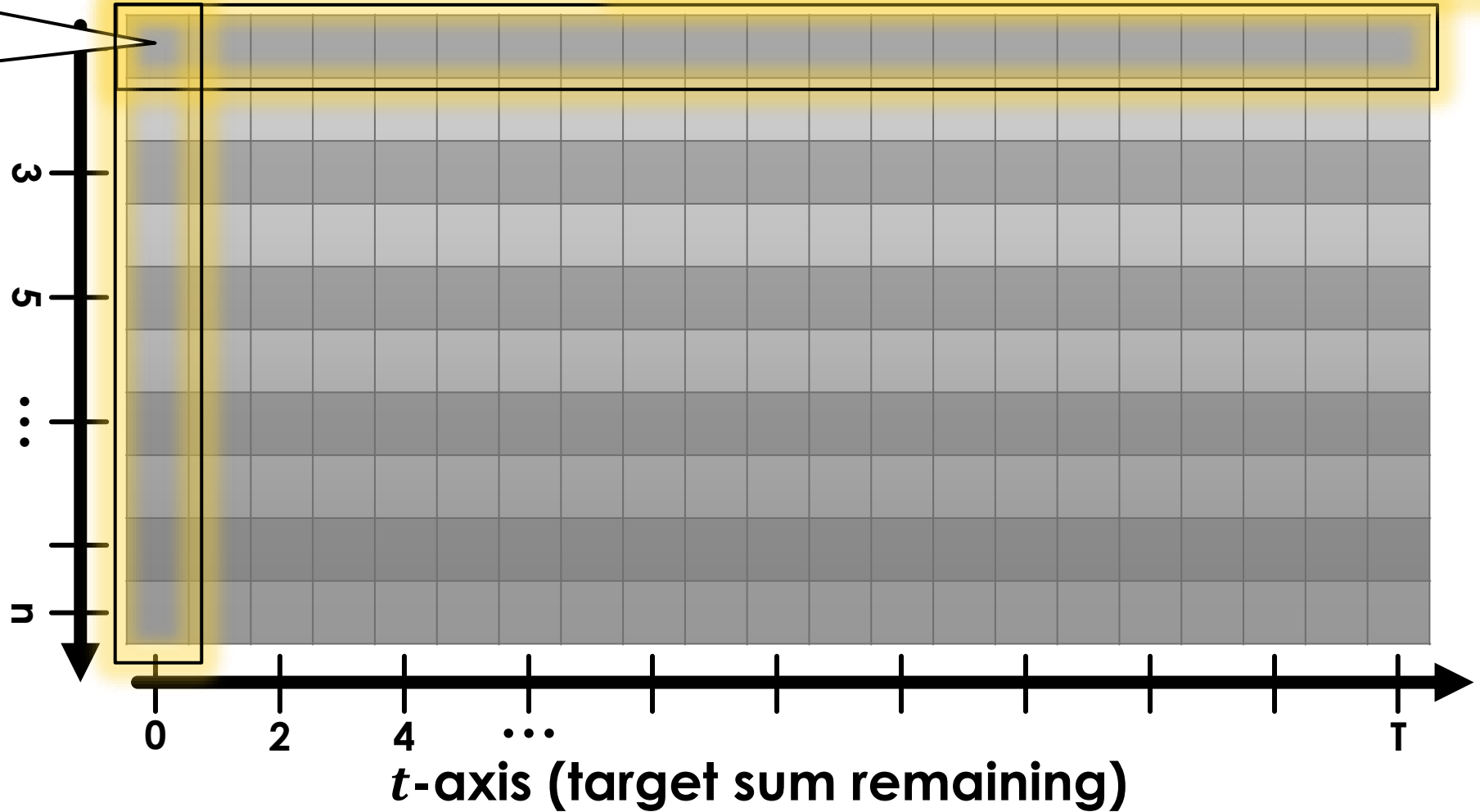
$N[1 \dots n, 0 \dots T]$ :

$$N[i, t] = \begin{cases} \min\{j + N[i - 1, t - jd_i] : 0 \leq j \leq \lfloor t/d_i \rfloor\} & \text{if } i \geq 2 \\ t & \text{if } i = 1. \\ & \text{OR } t = 0 \end{cases}$$

No data dependencies on any other array cells.

**$i$ -axis  
(coin type)**

(recall:  $N[i, t]$   
uses coin  
types  $1..i$ )



# FILLING THE ARRAY

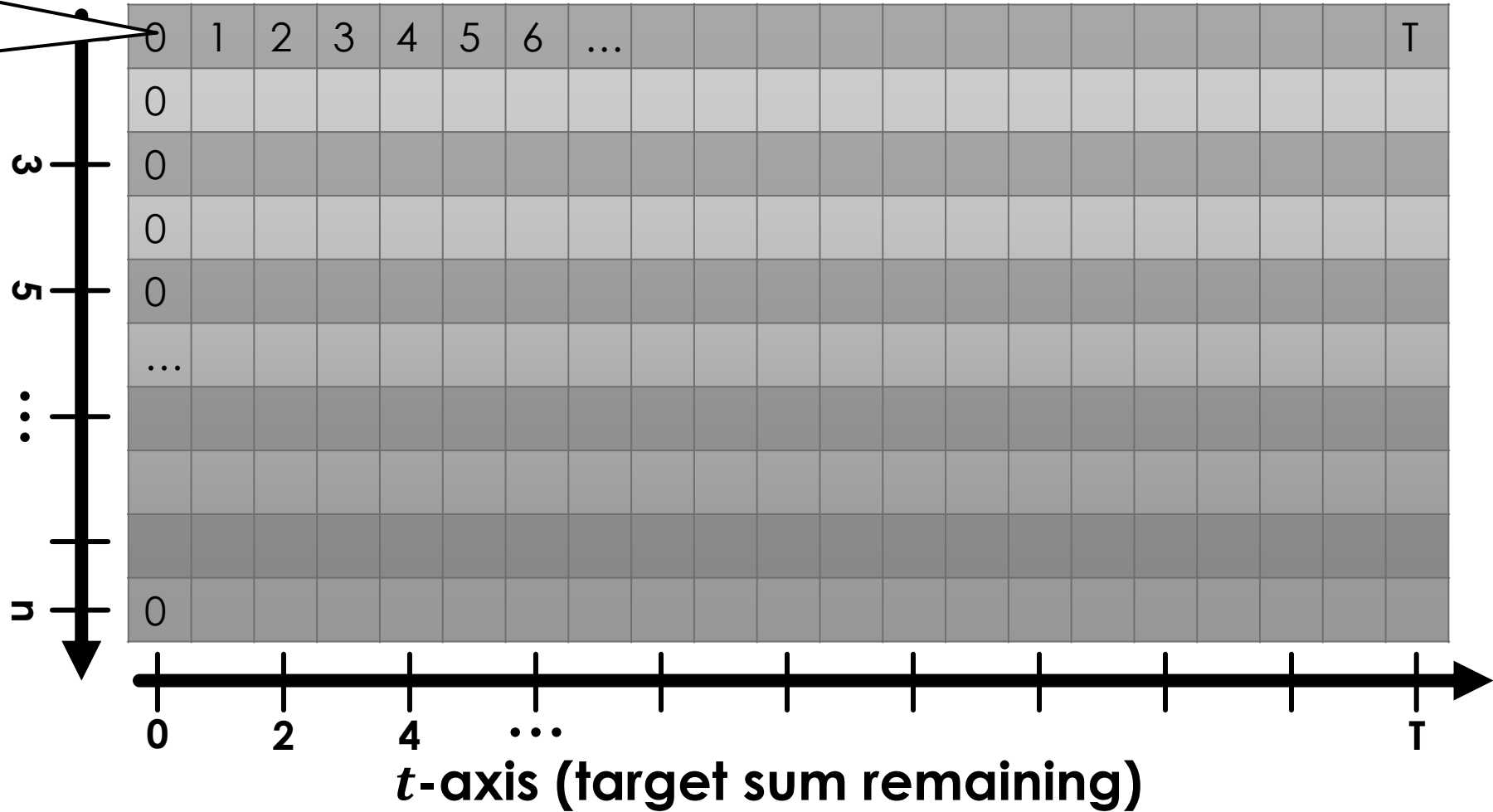
$N[1 \dots n, 0 \dots T]$ :

$$N[i, t] = \begin{cases} \min\{j + N[i - 1, t - jd_i] : 0 \leq j \leq \lfloor t/d_i \rfloor\} & \text{if } i \geq 2 \\ t & \text{if } i = 1. \\ \text{OR } t = 0 \end{cases}$$

No data dependencies on any other array cells.

**$i$ -axis  
(coin type)**

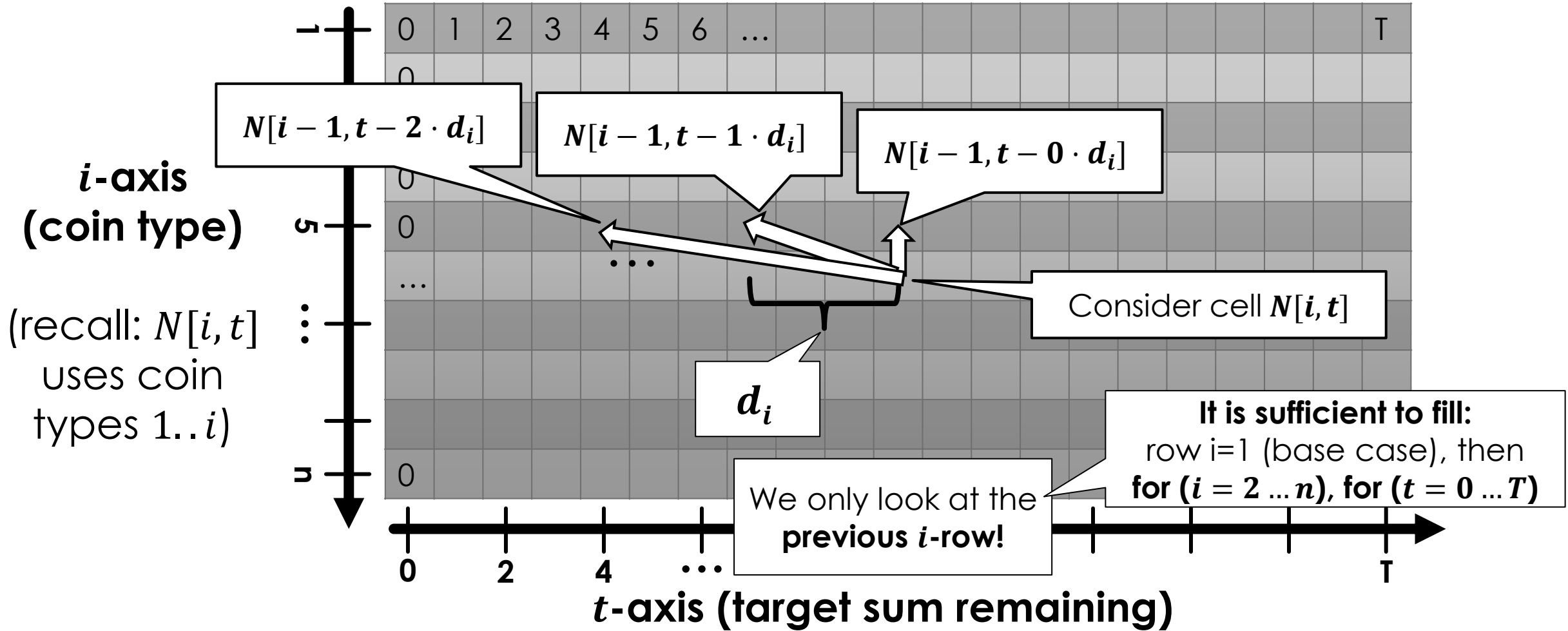
(recall:  $N[i, t]$   
uses coin  
types  $1..i$ )



# FILLING THE ARRAY

$N[1 \dots n, 0 \dots T]$ :

$$N[i, t] = \begin{cases} \min\{j + N[i - 1, t - j d_i] : 0 \leq j \leq \lfloor t/d_i \rfloor\} & \text{if } i \geq 2 \\ t & \text{if } i = 1. \\ & \text{OR } t = 0 \end{cases}$$



$$N[i, t] = \begin{cases} \min\{j + N[i - 1, t - jd_i] : 0 \leq j \leq \lfloor t/d_i \rfloor\} & \text{if } i \geq 2 \\ t & \text{if } i = 1. \end{cases}$$

```

1 CoinChangingDP(d[1..n], T)
2   N = new table[1..n][0..T]
3   J = new table[1..n][0..T]
4
5   for t = 0..T // base cases where i=1
6     N[1][t] = t
7     J[1][t] = t
8
9   for i = 2..n // general cases
10    for t = 0..T
11      // initially best solution is 0 of d[i]
12      N[i][t] = N[i-1][t]
13      J[i][t] = 0
14
15      // try j>0 coins of type d[i]
16      for j = 1..floor(t / d[i])
17        if j + N[i-1][t-j*d[i]] < N[i][t]
18          N[i][t] = j + N[i-1][t-j*d[i]]
19          J[i][t] = j // best is currently j of d[i]
20
21  return N[n][T] // can also return N, J

```

i.e., using coin  $d_1 = 1$

$J[i, t]$  = # of coins of type  $d_i$  used in  $N[i, t]$

using other coin types

Compute  $\min\{\dots\}$  over  
 $j = 0 \dots \lfloor t/d_i \rfloor$

# OUTPUTTING OPTIMAL SET OF COINS

```
1 CoinChangingDP_coins(d[1..n], J[1..n][0..T])
2   counts = new array[1..n]
3   t = T
4   for i = n..1
5     counts[i] = J[i][t]
6     t = t - counts[i]*d[i]
7
8   return counts
```

Recall  $J[i, t] = \#$  of coins of type  $d_i$  used in  $N[i, t]$

We start at  $J[n][T] = \#$  of coins of type  $d_n$  used in the **optimal solution**

**Exercise for later:**  
compute the correct output  
**without** using  $J[i, t]$   
(i.e., using only  $N, d, T$ )

```

1  CoinChangingDP(d[1..n], T)
2      N = new table[1..n][0..T]
3      J = new table[1..n][0..T]
4
5      for t = 0..T    // base cases where i=1
6          N[1][t] = t
7          J[1][t] = t
8
9      for i = 2..n    // general cases
10         for t = 0..T
11             // initially best solution is 0 of d[i]
12             N[i][t] = N[i-1][t]
13             J[i][t] = 0
14
15             // try j>0 coins of type d[i]
16             for j = 1..floor(t / d[i])
17                 if j + N[i-1][t-j*d[i]] < N[i][t]
18                     N[i][t] = j + N[i-1][t-j*d[i]]
19                     J[i][t] = j // best is currently j of d[i]
20
21     return N[n][T] // can also return N, J

```

## Time complexity?

**Unit cost** computational model is reasonable here

Consider instance  $I = (d, T)$

$$\text{Runtime } R(I) \in O\left(\sum_{i=2}^n \sum_{t=0}^T \left\lfloor \frac{t}{d_i} \right\rfloor\right)$$

$$R(I) \in O\left(\sum_{i=2}^n \frac{1}{d_i} \sum_{t=0}^T t\right)$$

$$R(I) \in O\left(\sum_{i=2}^n \frac{1}{d_i} \left(\frac{T(T+1)}{2}\right)\right)$$

$$R(I) \in O(DT^2)$$

$$\text{where } D = \sum_{i=2}^n \frac{1}{d_i} < n.$$

**If T is small, this is much better than brute force**

# POLYNOMIAL TIME

- An algorithm runs in (worst case) **polynomial time** IFF its runtime  $R(I)$  on every input is upper bounded by a polynomial in the input size  $S$
- I.e.,  $R(I) \in O(c_0 + c_1S + c_2S^2 + c_3S^3 + \dots + c_kS^k)$  for **constants  $k$  and  $c_0, \dots, c_k$**
- ... so is  $O(nT^2)$  polynomial in our input size  $S$ ?

# INPUT SIZE

- $S = \text{bits}(T) + \text{bits}(d_1) + \dots + \text{bits}(d_n)$
- It takes  $\lceil \log_2 T \rceil$  **bits** to store  $T$
- It takes  $\lceil \log_2 d_i \rceil$  **bits** to store **each**  $d_i$
- **Assume  $d_i \leq T$  (otherwise  $d_i$  cannot be used at all, and should be omitted from the input)**
  - Then we have  $\lceil \log_2 d_i \rceil \in O(\log T)$
  - So,  $S \in O(n \log T)$



# COMPARING $T(I)$ TO $S$

- Recall  $R(I) \in \mathbf{O}(nT^2)$  and  $S \in \mathbf{O}(n \log T)$
- As an example, if  $n$  is fixed at 10 and  $T$  is allowed to vary, then  $S \in \mathbf{O}(\log T)$  and  $R(I) \in \mathbf{O}(T^2)$ 
  - In this case,  $R(I)$  is **exponential in  $S$**
- However, if  $T$  is fixed at 10 and  $n$  is allowed to vary, then  $S \in \mathbf{O}(n)$  and  $R(I) \in \mathbf{O}(n)$ 
  - In this case,  $R(I)$  is **linear in  $S$**
- So, **large  $n$**  and **small  $T$**  is where this DP solution shines!

# A BIT MORE ANALYSIS

- Recall  $R(I) \in \mathbf{O}(nT^2)$  and  $S \in \mathbf{O}(n \log T)$
- **if**  $T \in \mathbf{O}(n)$ , then  $S \in O(n \log n)$  and  $R(I) \in O(n^3)$ 
  - Note  $O(n^3)$  is a **smaller** runtime than  $O(S^3) = O(n^3 \log n)$
  - And  $S^3$  is polynomial in  $S$ , so  $O(n^3)$  is a **polynomial runtime**
- So, **for some inputs** with *relatively small*  $T$ , we can get polynomial runtimes!
  - In particular, for  $T \in \mathbf{O}(n^k)$  where  **$k$  is constant**,  
 $R(I) \in O\left(n(n^k)^2\right) = O(n^{2k+1})$  and  $S \in O(n \log n^k) = O(n \log n)$
  - And  $R(I) \in O(n^{2k+1}) \subseteq O\left((n \log n)^{2k+1}\right) = O(S^{2k+1})$