# CS 341: ALGORITHMS

Lecture 8: dynamic programming II

Readings: see website

Trevor Brown

https://student.cs.uwaterloo.ca/~cs341

trevor.brown@uwaterloo.ca

## **ROD CUTTING**

A "REAL" DYNAMIC PROGRAMMING EXAMPLE

Input: n = 4  $n: length of rod <math display="block">\frac{length \ j}{price \ p_j} \frac{1}{1} \frac{2}{5} \frac{3}{8}$ 

 $p_1, ..., p_n$ :  $p_i$  = price of a rod of length i

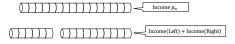
:tuatuO

Max **income** possible by cutting the rod of length n into any number of **integer** pieces (maybe **no** cuts)



# DYNAMIC PROGRAMMING APPROACH

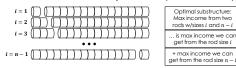
- High level idea (can just think recursively to start)
  - Given a rod of length n
  - Either make no cuts, or make a cut and **recurse** on the remaining parts



Where should we cut?

## DYNAMIC PROGRAMMING APPROACH

- Try all ways of making that cut
  - l.e., try a cut at positions 1, 2, ..., n-1
  - In each case, recurse on two rods [0, i] and [i, n]
- Take the maxincome over all possibilities (each i / no cut)

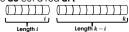


# RECURRENCE RELATION Critical step! Must define what M(k) means, semantically!

Define M(k) = maximum income for rod of length k

If we do **not** cut the rod, max income is  $p_k$ 

If we **do** cut a rod **at** *i* 



max income is M(i) + M(k-i)

Want to maximize this  ${\it over all} \; i$ 

 $max_i\{M(i) + M(k-i)\} \qquad \text{(for } 0 < i < k\text{)}$ 

 $M(k) = \max\{p_k, \max_{1 \leq i \leq k-1}\{M(i) + M(k-i)\}\}$ 

## COMPUTING SOLUTIONS BOTTOM-UP

- Recurrence:  $M(k) = max\{p_k, \max_{1 \le i \le k-1} \{M(i) + M(k-i)\}\}$
- Compute **table** of solutions: M[1..n]

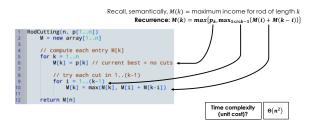


Dependencies: entry k depends on

$$\begin{array}{ccc}
M[i] & \rightarrow M[\mathbf{1}..(k-1)] \\
M[k-i] & \rightarrow M[\mathbf{1}..(k-1)]
\end{array}$$

 $^{\circ}$  All of these dependencies are < k

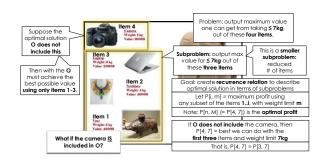
 $^{\circ}$  So we can fill in the table entries in order 1.. n

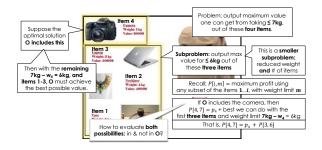


# MISCELLANEOUS TIPS

- Building a table of results bottom-up is what makes an algorithm DP
- There is a similar concept called **memoization** 
  - But, for the purposes of this course, we want to see bottom-up table filling!
- Base cases are critical
  - They often completely determine the answer
  - Try setting f[0]=f[1]=0 in FibDP...





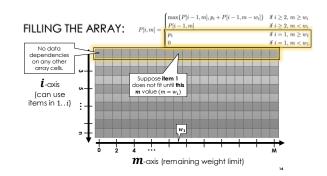


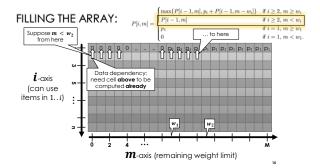
Recall: $P[i,m] = \text{maximum profit using}$	]		
any subset of the items $1l$ , with weight limit $\emph{m}$		In general:	
If O does not include the camera, then $P[4,7] = \text{best}$ we can do with the first three items and weight limit 7kg	P[4,7] = P[3,7]	P[i,m] = P[i-1,m]	
If O includes the camera, then $P[4,7] = p_4 + \text{best we can do with the}$ first three items and weight limit $7\text{kg} - \text{w}_4 = 6\text{kg}$	$P[4,7] = p_4 + P[3,7 - w_4]$	$P[i,m] = p_i + P[i-1,m-w_i]$	
Try both and take the better result! (How?)	$P[4, 7] = \max\{$ P[3, 7], $p_4 + P[3, 7 - w_4]\}$	$\begin{split} P[i,m] &= \max \{ \\ P[i-1,m], \\ p_i + P[i-1,m-w_i] \} \end{split}$	
Note that $\max\{P[i-1,m], p_i + P[i-1,m-w_i]\}$ is only valid if $i \ge 2$ and $m \ge w_i$			
What to do when $i=1$ or $m < w_i$ ? These are <b>special cases</b> .			

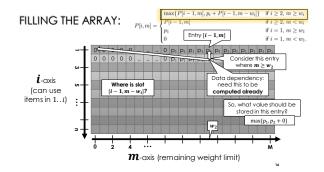
General case: $i \ge 2$ and $m \ge w_i$	Special case 1: $i \ge 2$ and $m < w_i$	
Since $m \ge w_i$ , we can carry item i. $P[i,m] = \max\{P[i-1,m], p_i + P[i-1,m-w_i]\}$	Since $m < w_i$ , we cannot carry item i. So, $P[i,m] = P[i-1,m]$ .	
<b>Special case 2:</b> $i = 1$ and $m \ge w_i$	<b>Special case 3:</b> $i = 1$ and $m < w_i$	
Since $i \le 1$ , we can only use item 1. Since $m \ge w_i$ , we can carry item 1. So, $P[i, m] = p_i$ .	Since $i \le 1$ , we can only use item 1. Since $m < w_i$ , we cannot carry item 1. So, $P[i, m] = 0$ .	

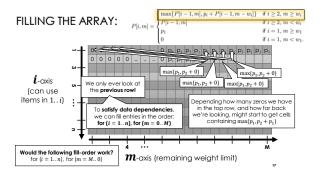
#### Recurrence Relation:

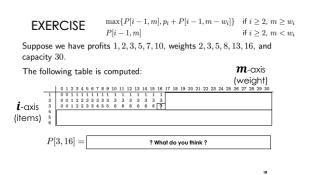
	$\max\{P[i-1,m], p_i + P[i-1,m-w_i]\}$	if $i \geq 2$ , $m \geq w_i$
P[i, m] = i	$P[i-1,m] \\ p_1$	$\text{if } i \geq 2 \text{, } m < w_i \\$
1 [1, m] -	$p_1$	if $i=1$ , $m\geq w_1$
	[0	if $i = 1$ , $m < w_1$







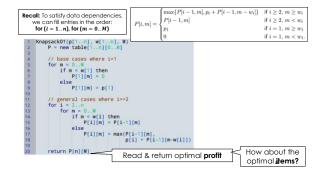




 $\begin{array}{ll} \mathsf{EXERCISE} & \max\{P[i-1,m], p_i + P[i-1,m-w_i]\} & \text{if } i \geq 2, \, m \geq w_i \\ & P[i-1,m] & \text{if } i \geq 2, \, m < w_i \end{array}$ 

Suppose we have profits 1,2,3,5,7,10, weights 2,3,5,8,13,16, and capacity 30.

```
P[3, 16] = \max\{P[2, 16], P[2, 11] + 3\} = \max\{3, 3 + 3\} = 6.
```



## OUTPUTTING CONTENTS OF THE OPTIMAL KNAPSACK O

The optimal solution is computed by tracing back through the table.

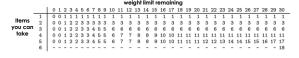
For the previous example, consisting of profits 1,2,3,5,7,10, weights 2,3,5,8,13,16, and capacity 30, the optimal solution is  $\ref{30}$ ??

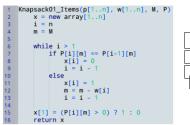


# OUTPUTTING CONTENTS OF THE OPTIMAL KNAPSACK O

The optimal solution is computed by tracing back through the table.

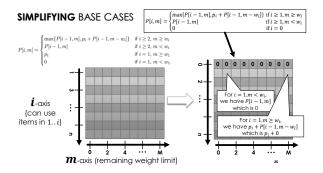
For the previous example, consisting of profits 1,2,3,5,7,10, weights 2,3,5,8,13,16, and capacity 30, the optimal solution is [1,1,0,1,0,1].

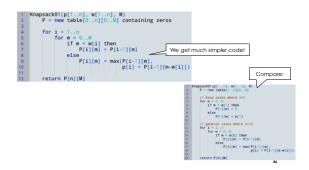


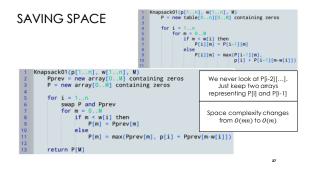




Complexity of the Algorithm So the DP alg is faster wher there are **many item types**. Suppose we assume the unit cost model, so additions / subtractions take but small weight limit time O(1). Huge **n** is fine, but **M** should be in **poly(n)** to get an asymptotic improvement The complexity to construct the table is  $\Theta(nM)$ Is this a polynomial-time algorithm, as a function of the size of the problem instance? DP takes  $\Theta(nM)$  time We have  $\operatorname{size}(I) = \log_2 M + \sum_{i=1}^n \log_2 w_i + \sum_{i=1}^n \log_2 p_i.$  $\Theta(n2^n)$  for huge M Note in particular that M is exponentially large compared to  $\log_2 M$ . So constructing the table is not a polynomial-time algorithm, even in the unit cost model A recursive algorithm What would the complexity of a recursive algorithm be?

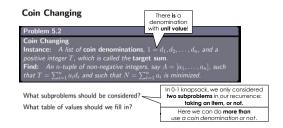








**COIN CHANGING** 



the first i coin denominations  $d_1,\dots,d_i$  and target sum t.

Exploring: some sensible base case(s)?

General case:

What are the different ways we could use coin denomination  $d_i$ ?

What subproblems / solutions should we use?

Let N[i,t] denote the optimal solution to the subproblem consisting of

Final recurrence relation

Let N[i,t] denote the optimal solution to the subproblem consisting of the first i coin denominations  $d_1,\ldots,d_i$  and target sum t. Also N[t,0]=0 for all i. Since  $d_1=1$ , we immediately have N[1,t]=t for all t. Also N[t,0]=0 for all i. General case: What are the different ways we could use coin denomination  $d_i$ ? What subproblems / solutions should we use?

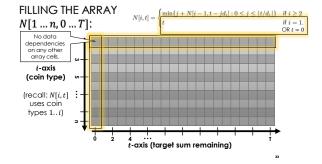
Let N[i,t] denote the optimal solution to the subproblem consisting of the first i coin denominations  $a_1,\ldots,d_i$  and target sum t. Also  $N[t,\mathbf{0}] = \mathbf{0}$  for all t.

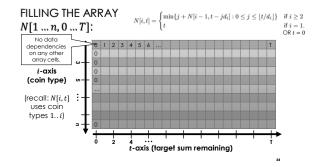
For  $i\geq 2,$  the number of coins of denomination  $d_i$  is an integer j where  $0\leq j\leq \lfloor t/d_i\rfloor.$ 

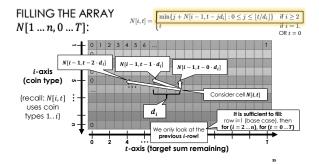
If we use j coins of denomination  $d_i$ , then the target sum is reduced to  $t-jd_i$ , which we must achieve using the first i-1 coin denominations.

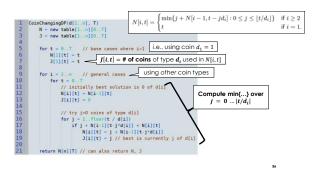
Thus we have the following recurrence relation:

$$N[i,t] = \begin{cases} \min\{j + N[i-1,t-jd_i] : 0 \leq j \leq \lfloor t/d_i \rfloor\} & \text{if } i \geq 2 \\ t & \text{if } i = 1 \text{ OR } t = 0 \end{cases}$$









## **OUTPUTTING OPTIMAL SET OF COINS**

37

```
1 CoinChangingOP(d[i.n], T)
2 N - new table[i..n][0..T]
3 J - new table[i..n][0..T]
5 for t - 0..T // base cases where i=1
N[i][t] - t
7 J[i][t] - t
8 J[i][t] - t
9 for i = 0..T // general cases
10 for t = 0..T // general cases
11 [i] N[i][t] - t
12 N[i][t] - i
13 J[i][t] = 0
14 // try j=0 coins of type d[i]
16 for j - 1..floor(t / d[i])
17 if j + N[i][t] - j / N[i][t] - N[i][t] -
```

## POLYNOMIAL TIME

- An algorithm runs in (worst case) **polynomial time** IFF its runtime R(I) on every input is upper bounded by a polynomial in the input size S
- i.e.,  $R(I) \in O(c_0 + c_1S + c_2S^2 + c_3S^3 + \dots + c_kS^k)$  for **constants** k and  $c_0, \dots, c_k$
- ... so is  $O(nT^2)$  polynomial in our input size S?

# **INPUT SIZE**

- $S = bits(T) + bits(d_1) + \dots + bits(d_n)$
- It takes  $\lceil \log_2 T \rceil$  bits to store T
- It takes  $[\log_2 d_i]$  bits to store each  $d_i$

Assume  $d_i \leq T$  (otherwise  $d_i$  cannot be used at all, and should be omitted from the input)

- Then we have  $\lceil \log_2 d_i \rceil \in O(\log T)$
- So,  $S \in O(n \log T)$

COMPARING T(I) TO S

Recall  $R(I) \in O(nT^2)$  and  $S \in O(n \log T)$ 

As an example, if n is fixed at 10 and T is allowed to vary, then  $S \in \mathbf{O}(\log T)$  and  $R(I) \in \mathcal{O}(T^2)$ 

In this case, R(I) is **exponential in** S

However, if T is fixed at 10 and n is allowed to vary, then  $S \in O(n)$  and  $R(I) \in O(n)$ 

- In this case, R(I) is **linear in** S
- So, large n and small T is where this DP solution shines!

A BIT MORE ANALYSIS

Recall  $R(I) \in O(nT^2)$  and  $S \in O(n \log T)$ 

If  $T \in O(n)$ , then  $S \in O(n \log n)$  and  $R(I) \in O(n^3)$ 

- Note  $O(n^3)$  is a **smaller** runtime than  $O(S^3) = O(n^3 \log n)$
- And  $S^3$  is polynomial in S, so  $O(n^3)$  is a **polynomial runtime**

So, **for some inputs** with *relatively small* T, we can get polynomial runtimes!

- In particular, for  $T \in \mathcal{O}(n^k)$  where k is constant,  $R(l) \in \mathcal{O}\left(n{n\choose k}^2\right) = \mathcal{O}(n^{2k+1})$  and  $S \in \mathcal{O}\left(n\log n^k\right) = \mathcal{O}(n\log n)$
- And  $R(I) \in O(n^{2k+1}) \subseteq O((n \log n)^{2k+1}) = O(S^{2k+1})$