CS 341: ALGORITHMS

Lecture 9: dynamic programming III

Readings: see website

Trevor Brown

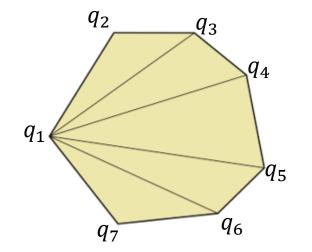
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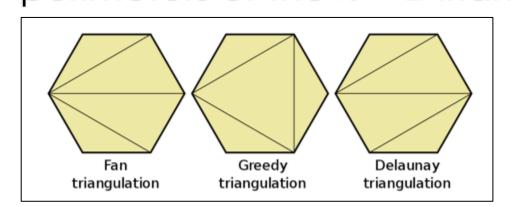
PROBLEM: MINIMUM LENGTH TRIANGULATION

• Input: n points $q_1, ..., q_n$ in 2D space that form a convex n-gon P

 Assume points are sorted clockwise around the center of P

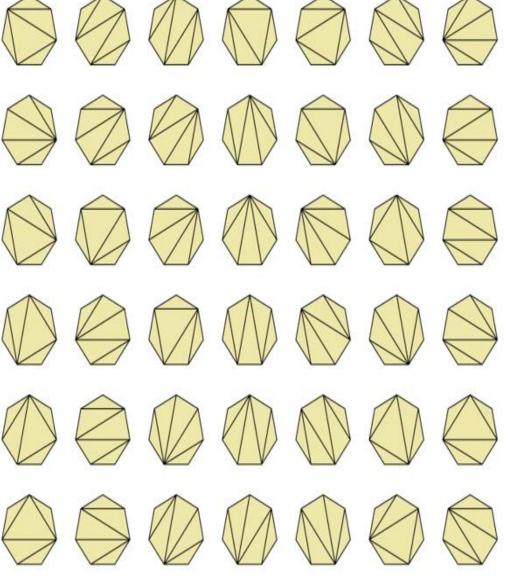


• **Find:** a triangulation of P such that the sum of the perimeters of the n-2 triangles is minimized



Output: the sum of the perimeters of the triangles in P

HOW HADD IS THIS DOUBLEWS



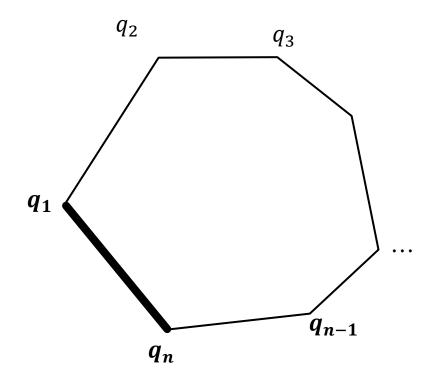
How many triangulations are there?

Number of triangulations of a convex n-gon = the (n-2)nd Catalan number

This is
$$C_{n-2} = \frac{1}{n-1} {2n-4 \choose n-2}$$

It can be shown that $C_{n-2} \in \Theta(\mathbf{4}^n/(n-2)^{3/2})$

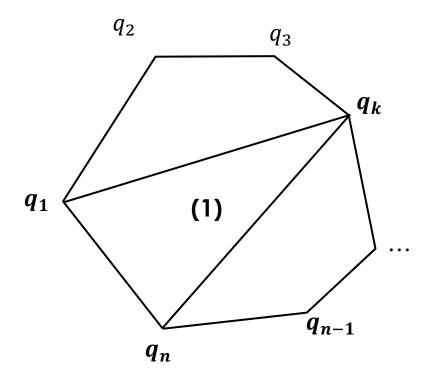
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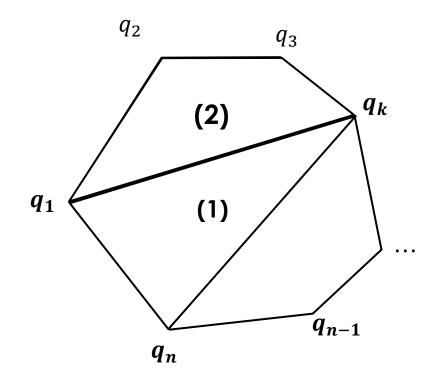
the triangle $q_1q_kq_n$, (1)



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For a given k, we have:

```
the triangle q_1q_kq_n, (1) the polygon with vertices q_1,\ldots,q_k, (2)
```



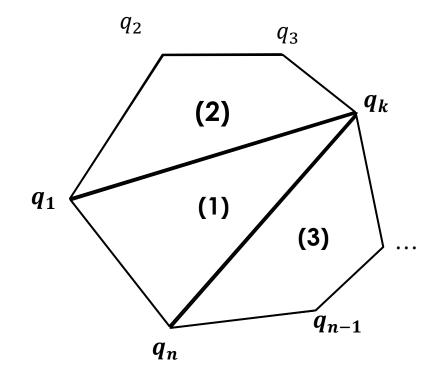
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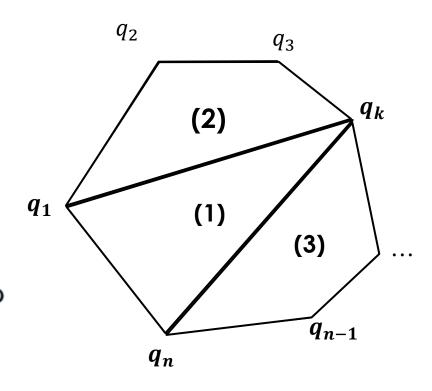
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The optimal solution will consist of optimal solutions to the two subproblems in (2) and (3), along with the triangle in (1).



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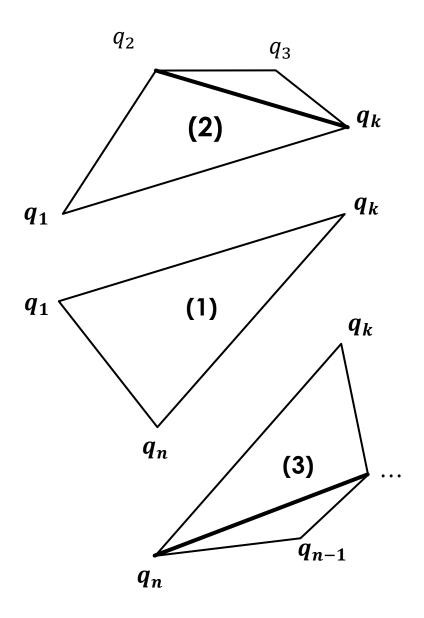
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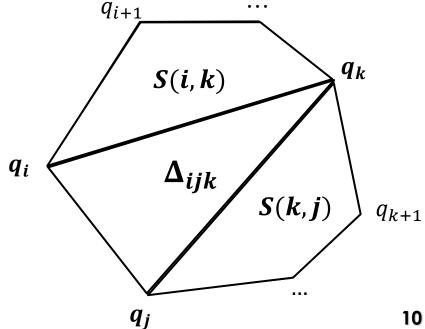
RECURRENCE RELATION

• Let S(i,j) = optimal solution to the subproblem consisting of the polygon with vertices $q_i \dots q_i$

 \circ Let Δ_{ijk} denote **perimeter(** $^{q_{i}}$

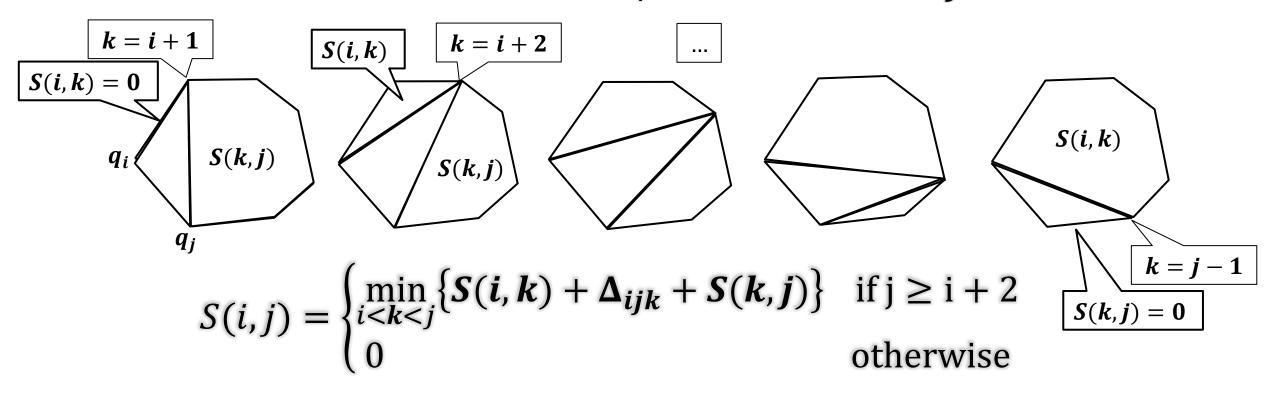
• If a given triangle q_i, q_j, q_k is in the optimal solution,

then $S(i,j) = S(i,k) + \Delta_{ijk} + S(k,j)$



RECURRENCE RELATION

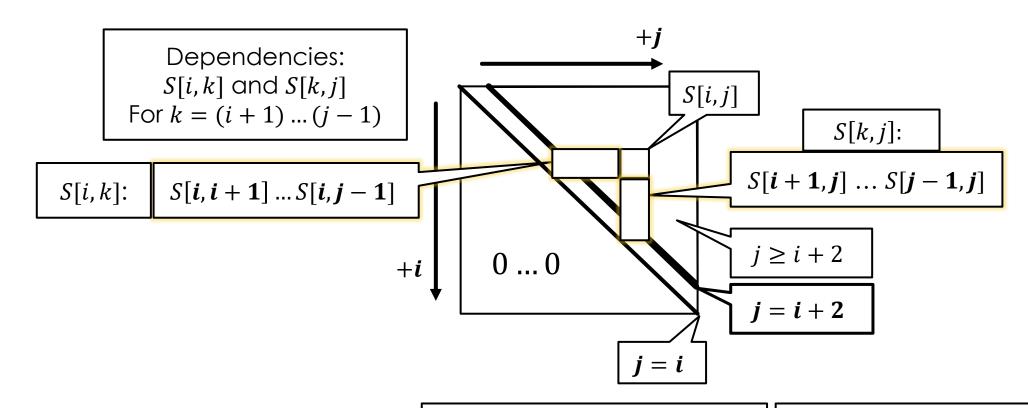
- \circ But we don't know the optimal k
 - \circ Minimize over **all** k strictly between i and j



FILLING IN THE TABLE

$$S(i,j) = \begin{cases} \min_{i < k < j} \{ S(i,k) + \Delta_{ijk} + S(k,j) \} & \text{if } j \ge i + 2 \\ 0 & \text{otherwise} \end{cases}$$

• Table S[1...n, 1...n] of solutions to S(i,j) for all $i,j \in \{1...n\}$



We depend on **larger** *i* And **same** *i* **but smaller** *j*

What's a correct fill order?

for i = n..1, for j = 1..n

RUNTIME WORD RAM MODEL

$$S(i,j) = \begin{cases} \min_{i < k < j} \{ S(i,k) + \Delta_{ijk} + S(k,j) \} & \text{if } j \ge i+2 \\ 0 & \text{otherwise} \end{cases}$$

- Number of subproblems: n^2
- Time to solve subproblem S(i,j): $O(j-i) \subseteq O(n)$
- So total runtime is in $O(n^3)$
 - Some effort needed to show $\Omega(n^3)$, since so many subproblems are base cases, which take $\Theta(1)$ steps
- Incidentally, this is polynomial time (in the input size)
 - But basic runtime analysis
 does **not** require such an argument

PROBLEM: LONGEST COMMON SUBSEQUENCE (LCS)

Problem 5.3

Longest Common Subsequence

Instance: Two sequences $X = (x_1, \dots, x_m)$ and $Y = (y_1, \dots, y_n)$ over some finite alphabet Γ .

Find: A maximum length sequence Z that is a subsequence of both X and Y.

 $Z = (z_1, \ldots, z_\ell)$ is a **subsequence** of X if there exist indices $1 \le i_1 < \cdots < i_\ell \le m$ such that $z_j = x_{i_j}$, $1 \le j \le \ell$.

Similarly, Z is a subsequence of Y if there exist (possibly different) indices $1 \le h_1 < \cdots < h_\ell \le n$ such that $z_j = y_{h_j}$, $1 \le j \le \ell$.

Let's first solve for the length of the LCS

EXAMPLES

· X=aaaaa

Y=bbbbb

Z=LCS(X,Y)=?

 \circ Z= ϵ (empty sequence)

X=abcde

Y=bcd

Z=LCS(X,Y)=?

Z=bcd

X=abcde

Y=labef

Z=LCS(X,Y)=\$

Z=abe

POSSIBLE GREEDY SOLUTIONS?

• Alg: for each $x_i \in X$, try to choose a **matching** $y_j \in Y$ that is **to the right** of all previously chosen y_j values

 $\times X = \underline{a}bcde$ $Y = \underline{a}bef$

X=abcdeY=labef

• X=abcde $Y=labef[no suitable y_j found]$

 \times X=**ab**c<u>d</u>e Y=**lab**ef [no suitable y_i found]

 \times X=abcde Y=labef

Z=abe Optimal?

POSSIBLE GREEDY SOLUTIONS?

• Alg: for each $x_i \in X$, try to choose a **matching** $y_j \in Y$ that is **to the right** of all previously chosen y_j values

X=<u>a</u>zbracadabra
 Y=<u>a</u>bracadabraz

X=a<u>z</u>bracadabra Y=abracadabra<u>z</u>

 $\times \times / \mathbf{z} \underline{\mathbf{b}}$ racadabra Y=**a**bracadabra**z** [no y_i after \mathbf{z}]

Y=**a**bracadabra**z** [no y_i after **z**]

Blindly taking z is bad.

How to decide whether to take or leave z?

Try **both** possibilities!
(Brute force / dynamic programming)

Similar greedy alg that goes right-to-left works for this input, but fails for other inputs.

DEFINING SUBPROBLEMS

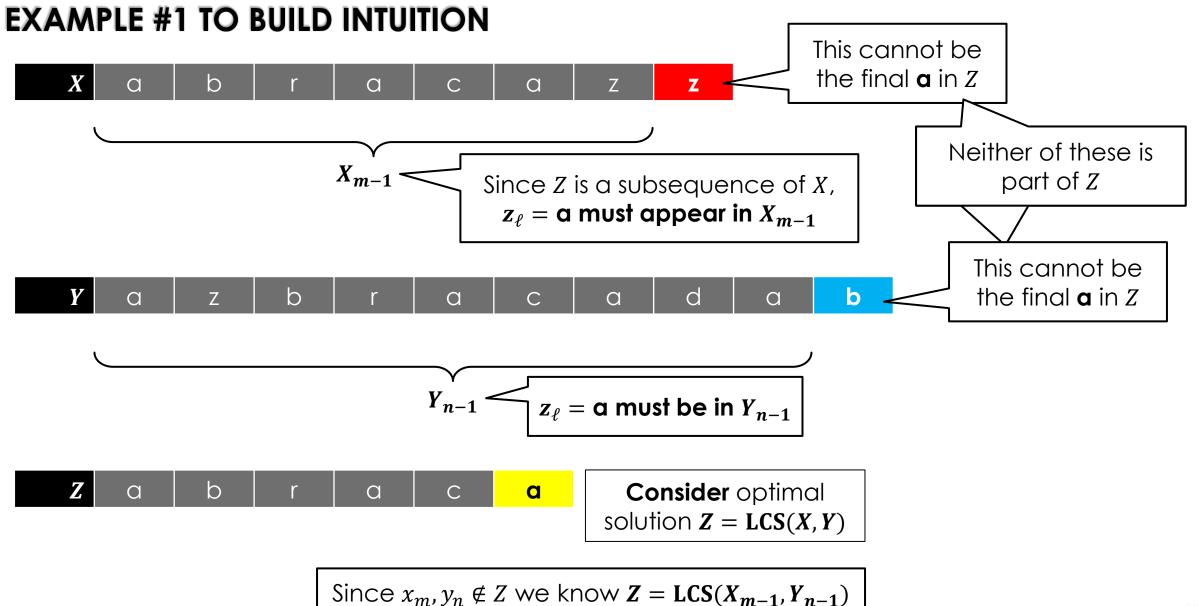
- Full problem: |LCS(X,Y)| (i.e., length of LCS)
 - Reduce size by taking prefixes of X or Y
 - Let $X_i = (x_1, ..., x_i)$ and $Y_i = (y_1, ..., y_i)$

| X_m | x_1 | x_2 | x_3 | x_4 | ••• | | x_{m-1} | x_m |
|-------|-------|-------|-------|----------|-----|--|-----------|-------|
| X_4 | x_1 | x_2 | x_3 | χ_4 | | | | |

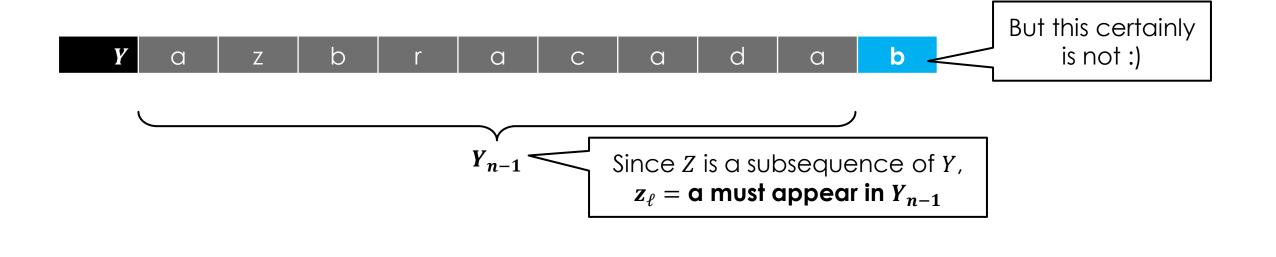
Note
$$X = X_m$$
 and $Y = Y_n$

- Subproblem: $|LCS(X_i, Y_j)|$
- $^{\circ}$ Shrinking the problem: remove the last letter of X or Y

BUILDING SOLUTIONS FROM SUBPROBLEMS



EXAMPLE #2Or maybe this is This might be the final **q** in Z



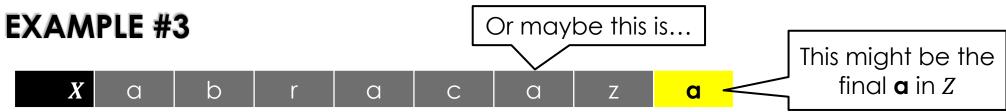
Since $y_n \notin Z$ we know $\mathbf{Z} = \mathbf{LCS}(X, Y_{n-1})$

a

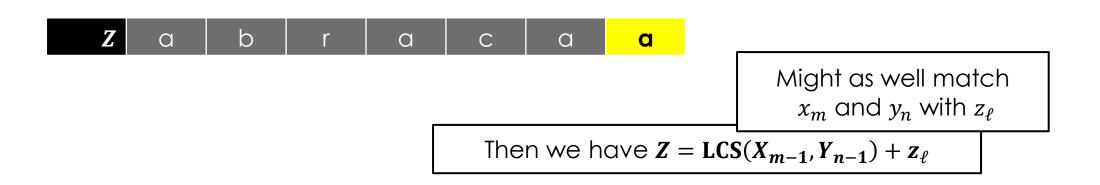
Case $x_m \notin Z$, $y_n \in Z$ is symmetric

$$Z = LCS(\boldsymbol{X_{m-1}}, Y)$$

BUILDING SOLUTIONS FROM SUBPROBLEMS







SUMMARIZING CASES

- z_{ℓ} matches **neither** x_m nor y_n $Z = LCS(X_{m-1}, Y_{n-1})$
- z_{ℓ} matches x_m but not y_n $Z = LCS(X_m, Y_{n-1})$
- z_{ℓ} matches y_n but not x_m $Z = LCS(X_{m-1}, Y_n)$
- z_{ℓ} matches **both** $Z = LCS(X_{m-1}, Y_{n-1}) + \mathbf{z}_{\ell}$
- ... but we don't know z_{ℓ}
 - Try all cases and maximize
 - Careful: last case is only valid if $x_m = y_n$
- Also note $x_m = y_n$ only holds in the last case
 - Cases 2&3: trivial
 - Case 1: if $x_m = y_n \neq z_\ell$ then we can improve Z (contra)

DERIVING A RECURRENCE

Recall $Z = LCS(X_m, Y_n)$

- z_{ℓ} matches **neither** x_m nor y_n $(x_m \neq y_n)$ $Z = LCS(X_{m-1}, Y_{n-1})$
- z_{ℓ} matches x_m but not y_n $(x_m \neq y_n)$ $Z = LCS(X_m, Y_{n-1})$
- z_{ℓ} matches y_n but not x_m $(x_m \neq y_n)$ $Z = LCS(X_{m-1}, Y_n)$
- z_{ℓ} matches **both** $(x_m = y_n)$ $Z = LCS(X_{m-1}, Y_{n-1}) + z_{\ell}$
- Let $c(i,j) = |LCS(X_i,Y_j)|$
- Brainstorming sensible base cases
 - i = 0 one string is empty, so c(0,j) = 0 (similarly for j = 0)
- General cases

| c(i,j) = c(i-1,j-1) + 1 | if $x_m = y_n$ |
|---|-------------------|
| $c(i,j) = \max\{c(i-1,j-1), c(i,j-1), c(i-1,j)\}$ | if $x_m \neq y_n$ |

RECURRENCE

Combining expressions

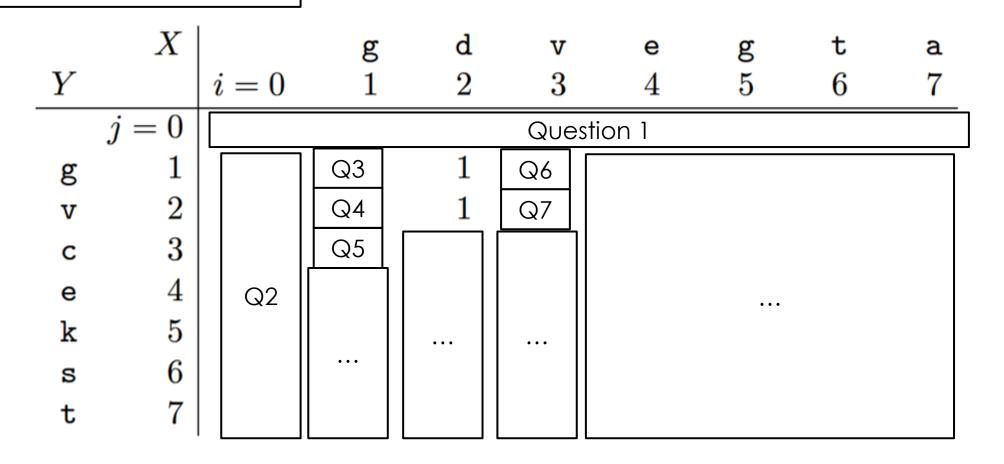
$$c(i,j) = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ c(i-1,j-1) + 1 & \text{if } i,j \ge 1 \text{ and } x_i = y_j \\ \max\{c(i,j-1), c(i-1,j), c(i-1,j-1)\} & \text{if } i,j \ge 1 \text{ and } x_i \ne y_j \end{cases}$$

- Can simplify!
 - Observe $c(i-1,j-1) \le c(i-1,j)$ (former is a subproblem of the latter)

$$c(i,j) = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ c(i-1,j-1) + 1 & \text{if } i,j \ge 1 \text{ and } x_i = y_j \\ \max\{c(i,j-1), c(i-1,j)\} & \text{if } i,j \ge 1 \text{ and } x_i \ne y_j \end{cases}$$

Suppose
$$X = \mathbf{gdvegta}$$
 and $Y = \mathbf{gvcekst}$

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| | X | | g | d | v | е | g | t | a |
|---|-------|-------|---|---|---|----------|----------|----------|---|
| Y | | i = 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| | j = 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| g | 1 | 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| v | 2 | 0 | 1 | 1 | 2 | 2 | 2 | 2 | 2 |
| С | 3 | 0 | 1 | 1 | 2 | 2 | 2 | 2 | 2 |
| е | 4 | 0 | 1 | 1 | 2 | 3 | 3 | 3 | 3 |
| k | 5 | 0 | 1 | 1 | 2 | 3 | 3 | 3 | 3 |
| s | 6 | 0 | 1 | 1 | 2 | 3 | 3 | 3 | 3 |
| t | 7 | 0 | 1 | 1 | 2 | 3 | 3 | 4 | 4 |

PSEUDOCODE

$$c(i,j) = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ c(i-1,j-1) + 1 & \text{if } i,j \ge 1 \text{ and } x_i = y_j \\ \max\{c(i,j-1),c(i-1,j)\} & \text{if } i,j \ge 1 \text{ and } x_i \ne y_j \end{cases}$$

```
Algorithm: LCS1(X = (x_1, ..., x_m), Y = (y_1, ..., y_n))
 for i \leftarrow 0 to m
       c[i,0] \leftarrow 0
                                                                           Complexity:
 for j \leftarrow 0 to n
                                                                          Space? Time?
       c[0,j] \leftarrow 0
                                                                       (word RAM model)
 for i \leftarrow 1 to m
                                                                          \Theta(nm) for both
          for j \leftarrow 1 to n
                    if x_i = y_i
                       then c[i,j] \leftarrow c[i-1,j-1] + 1
                       else c[i, j] \leftarrow \max\{c[i, j - 1], c[i - 1, j]\}
 return (c[m,n]);
```

COMPUTING THE LCS

NOT JUST ITS LENGTH

To make it easy to find the actual LCS (not just its length),

Consider which table entry was used to calculate c[i,j]

$$= \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ c(i-1,j-1)+1 & \text{if } i,j \ge 1 \text{ and } x_i = y_j \\ \max\{c(i,j-1),c(i-1,j)\} & \text{if } i,j \ge 1 \text{ and } x_i \ne y_j \end{cases}$$

We store the **direction** to that entry in an array $\pi[i,j]$

Case 1: c(i,j) = c(i,j-1)

We store "J" in $\pi[i,j]$ to indicate **decrementing** j (to get i,j-1)

Case 2: c(i,j) = c(i-1,j)

We store "I" in $\pi[i,j]$ to indicate decrementing i (to get i-1,j)

In our example table we just draw an arrow to the entry...

Case 3: c(i,j) = c(i-1,j-1) + 1

We store "IJ" in $\pi[i,j]$ to indicate decrementing **both** i and j

Recall in this case, $x_i = y_j$ so we **include** x_i in the LCS

SAVING THE DIRECTION TO THE **PREDECESSOR** SUBPROBLEM π

```
LCS2(X[1..m], Y[1..n])
        c = new array[0..m][0..n]
        \pi = \text{new array}[0..m][0..n]
        for i = 0..m do c[i][0] = 0
        for j = 0...n do c[0][j] = 0
        for i = 1..m
            for j = 1..n
                if X[i] = Y[j]
10
                     c[i][j] = c[i-1][j-1] +
11
                    \pi[i][j] = "IJ"
12
                else if c[i][j-1] > c[i-1][j]
13
14
                     c[i][j] = c[i][j-1]
15
                    \pi[i][j] = "J"
                else // c[i][j-1] <= c[i-1][j]
16
                     c[i][j] = c[i-1][j]
17
                    \pi[i][j] = "I"
18
19
        return c, π
20
```

Case: c(i,j) = c(i-1,j-1) + 1

We store "IJ" in $\pi[i,j]$ to indicate decrementing **both** i and j

Recall in this case, $x_i = y_j$ so we **include** x_i **in the LCS**

Case: c(i, j) = c(i, j - 1)

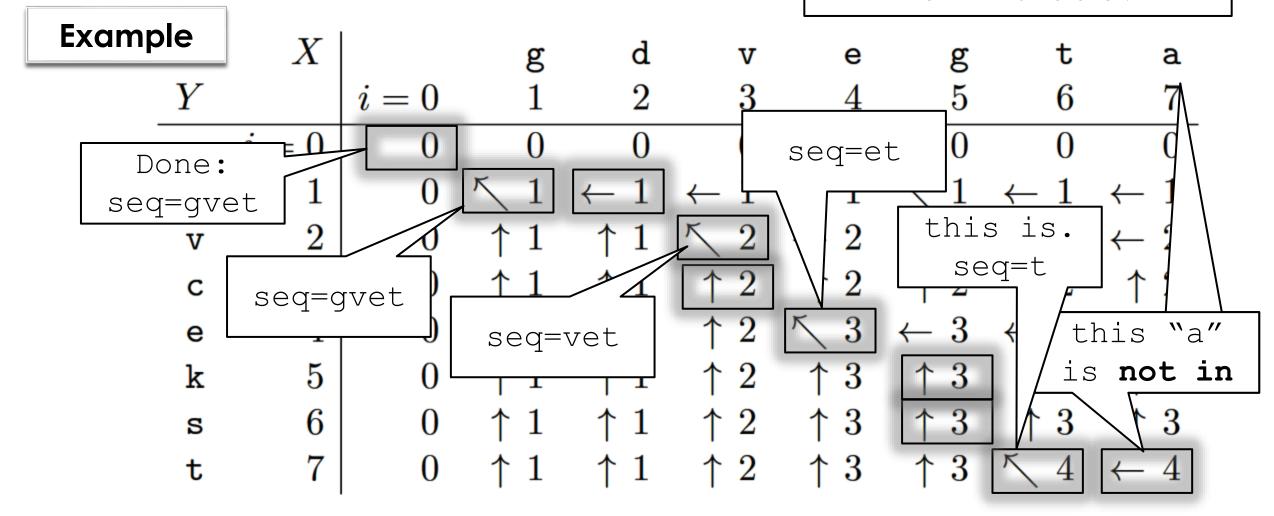
We store "J" in $\pi[i,j]$ to indicate **decrementing** j (to get i,j-1)

Case: c(i, j) = c(i - 1, j)

We store "I" in $\pi[i,j]$ to indicate decrementing i (to get i-1,j)

Suppose X = gdvegta and Y = gvcekst.

How to obtain LCS=gvet from this table?



FOLLOWING PREDECESSORS TO COMPUTE THE LCS

```
FindLCS(c[0..m][0..n], \pi[0..m][0..n], X[0..m])
        lcs = new string
2
        i = m
 3
        j = n
4
        while i>0 and j>0
 6
             if \pi[i][j] == "IJ"
                 lcs.append(X[i])
8
 9
10
             else if \pi[i][j] == "J"
11
12
             else // \pi[i][j] == "I"
13
14
15
        return reverse(lcs)
16
```

Complexities of this trace-back algo:
Space? Time?
(word RAM model)

space: O(n+m) words

time: O(n+m)

UNLIKELY TO GET THIS FAR

So this is likely just an exercise for you...



COIN CHANGING

Coin Changing

There **is** a denomination with **unit value!**

Problem 5.2

Coin Changing

Instance: A list of coin denominations, $1 = d_1, d_2, \dots, d_n$, and a positive integer T, which is called the target sum.

Find: An n-tuple of non-negative integers, say $A = [a_1, \ldots, a_n]$, such that $T = \sum_{i=1}^n a_i d_i$ and such that $N = \sum_{i=1}^n a_i$ is minimized.

What subproblems should be considered?
What table of values should we fill in?

In 0-1 knapsack, we only considered two subproblems in our recurrence: taking an item, or not.

Here we can do **more than** use a coin denomination or not.

Let N[i,t] denote the optimal solution to the subproblem consisting of the first i coin denominations d_1, \ldots, d_i and target sum t.

Exploring: some sensible base case(s)?

General case:

What are the different ways we could use coin denomination d_i ? What subproblems / solutions should we use?

Final recurrence relation

Let N[i,t] denote the optimal solution to the subproblem consisting of

the first i coin denominations d_1, \ldots, d_i and target sum t.

Also N[i, 0] = 0 for all i

Since $d_1 = 1$, we immediately have N[1, t] = t for all t.

General case:

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Also N[i, 0] = 0 for all i

Since $d_1 = 1$, we immediately have N[1, t] = t for all t.

For $i \geq 2$, the number of coins of denomination d_i is an integer j where $0 \leq j \leq \lfloor t/d_i \rfloor$.

If we use j coins of denomination d_i , then the target sum is reduced to $t-jd_i$, which we must achieve using the first i-1 coin denominations.

Thus we have the following recurrence relation:

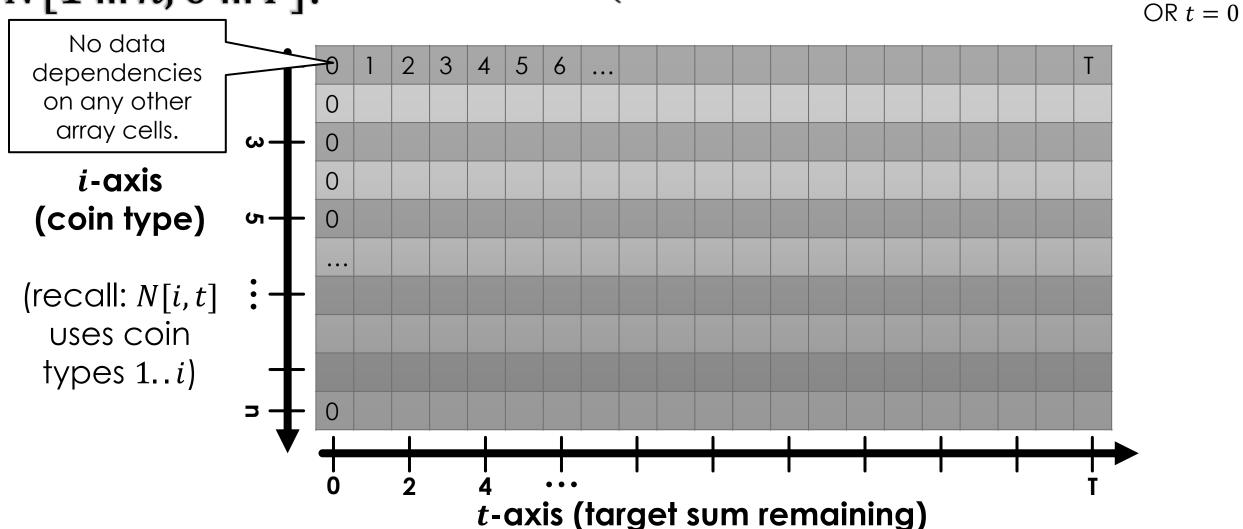
$$N[i,t] = \begin{cases} \min\{j+N[i-1,t-jd_i]: 0 \leq j \leq \lfloor t/d_i \rfloor\} & \text{if } i \geq 2 \\ t & \text{if } i=1 \text{ OR } t=0 \end{cases}$$

FILLING THE ARRAY $\min\{j + N[i-1, t-jd_i] : 0 \le j \le \lfloor t/d_i \rfloor\}$ if $i \geq 2$ $N[i,t] = \langle$ N[1 ... n, 0 ... T]: if i = 1. OR t = 0No data dependencies on any other array cells. i-axis (coin type) (recall: N[i,t]uses coin types 1..i) t-axis (target sum remaining)

FILLING THE ARRAY

$N[i,t] = \begin{cases} \min\{j + N[i-1, t-jd_i] : 0 \le j \le \lfloor t/d_i \rfloor\} \\ t \end{cases}$

N[1...n, 0...T]:

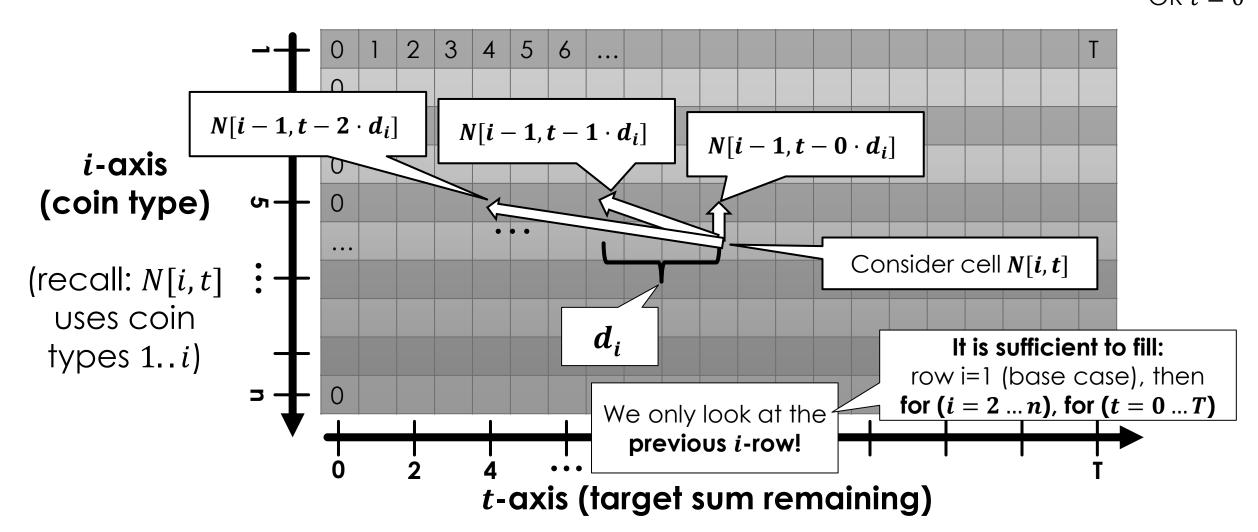


if $i \geq 2$

if i = 1.

FILLING THE ARRAY N[1 ... n, 0 ... T]:

$$N[i,t] = \begin{cases} \min\{j + N[i-1,t-jd_i] : 0 \le j \le \lfloor t/d_i \rfloor\} & \text{if } i \ge 2 \\ t & \text{if } i = 1. \\ \text{OR } t = 0 \end{cases}$$



```
N[i,t] = \begin{cases} \min\{j + N[i-1,t-jd_i] : 0 \le j \le \lfloor t/d_i \rfloor \} \end{cases}
     CoinChangingDP(d[1..n], T)
         N = \text{new table}[1..n][0..T]
         J = new table[1..n][0..T]
         for t = 0... // base cases where i=1 i.e., Using coin d_1 = 1
 6
              N[1][t] = t
             J[1][t] = t J[i,t] = \# \text{ of coins of type } d_i \text{ used in } N[i,t]
 8 9
         for i = 2... // general cases _ using other coin types
10
              for t = 0...T
                  // initially best solution is 0 of d[i]
11
12
                                                                           Compute min{...} over
                  N[i][t] = N[i-1][t]
13
                  J[i][t] = 0
                                                                                j = 0 \dots \lfloor t/d_i \rfloor
14
15
                  // try j>0 coins of type d[i]
16
                  for j = 1..floor(t / d[i])
17
                       if j + N[i-1][t-j*d[i]] < N[i][t]
18
                           N[i][t] = j + N[i-1][t-j*d[i]]
19
                           J[i][t] = j // best is currently j of d[i]
20
21
          return N[n][T] // can also return N, J
```

OUTPUTTING OPTIMAL SET OF COINS

```
CoinChangingDP_coins(d[1..n], J[1..n][0..T])

counts = new array[1..n]

t = T

for i = n..1

counts[i] = J[i][t] Recall J[i,t] = # of coins of type d<sub>i</sub> used in N[i,t]

t = t - counts[i]*d[i] We start at J[n][T] = # of coins of type d<sub>n</sub> used in the optimal solution
```

Exercise for later:

compute the correct output without using J[i,t] (i.e., using only N, d, T)

```
CoinChangingDP(d[1..n], T)
        N = new table[1..n][0..T]
        J = new table[1..n][0..T]
         for t = 0..T // base cases where i=1
 6
            N[1][t] = t
            J[1][t] = t
 8 9
        for i = 2..n // general cases
10
             for t = 0...T
11
                 // initially best solution is 0 of d[i]
12
                N[i][t] = N[i-1][t]
13
                 J[i][t] = 0
14
15
                // try j>0 coins of type d[i]
16
                 for j = 1..floor(t / d[i])
17
                     if j + N[i-1][t-j*d[i]] < N[i][t]
18
                         N[i][t] = j + N[i-1][t-j*d[i]]
19
                         J[i][t] = j // best is currently j of d[i]
20
21
         return N[n][T] // can also return N, J
```

Time complexity?

Unit cost computational model is reasonable here

Consider instance I = (d, T)

Runtime
$$R(I) \in O\left(\sum_{i=2}^{n} \sum_{t=0}^{T} \left\lfloor \frac{t}{d_i} \right\rfloor\right)$$

$$R(I) \in O\left(\sum_{i=2}^{n} \frac{1}{d_i} \sum_{t=0}^{T} t\right)$$

$$R(I) \in O\left(\sum_{i=2}^{n} \frac{1}{d_i} \left(\frac{T(T+1)}{2}\right)\right)$$

$$R(I) \in \mathcal{O} ig(DT^2 ig)$$
 where $D = \sum_{i=2}^n rac{1}{d_i} < n$.

If T is small, this is much better than brute force

MEMOIZATION: AN ALTERNATIVE TO DP

Recall that the goal of dynamic programming is to eliminate solving subproblems more than once.

Memoization is another way to accomplish the same goal.

Memoization is a recursive algorithm based on same recurrence relation as would be used by a dynamic programming algorithm.

The idea is to remember which subproblems have been solved; if the same subproblem is encountered more than once during the recursion, the solution will be looked up in a table rather than being re-calculated.

This is easy to do if initialize a table of all possible subproblems having the value undefined in every entry.

Whenever a subproblem is solved, the table entry is updated.

EXAMPLE: USING MEMOIZATION TO COMPUTE FIBONACCI NUMBERS EFFICIENTLY

 $\begin{aligned} & \text{main} \\ & \text{for } i \leftarrow 2 \text{ to } n \\ & \text{do } M[i] \leftarrow -1 \\ & \text{return } (\textit{RecFib}(n)) \end{aligned}$

```
computed, don't
                                                          recurse!
procedure RecFib(n)
 if n = 0 then f \leftarrow 0
    else if n=1 then f\leftarrow 1
    else if M[n] \neq -1 then f \leftarrow M[n]
   else \begin{cases} f_1 \leftarrow \textit{RecFib}(n-1) \\ f_2 \leftarrow \textit{RecFib}(n-2) \\ f \leftarrow f_1 + f_2 \\ M[n] \leftarrow f \end{cases}
 return (f);
```

If **M[n]** is already

VISUALIZING MEMOIZATION

