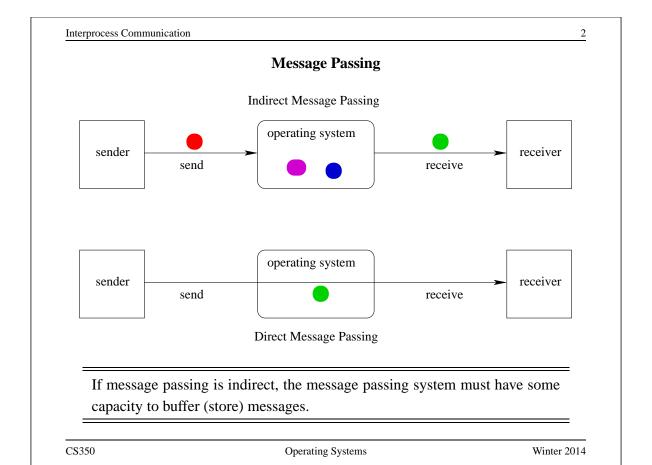
#### 1

# **Interprocess Communication Mechanisms**

- shared storage
  - These mechanisms have already been covered. examples:
    - \* shared virtual memory
    - \* shared files
  - processes must agree on a name (e.g., a file name, or a shared virtual memory key) in order to establish communication
- message based
  - signals
  - sockets
  - pipes
  - **–** ...



# **Properties of Message Passing Mechanisms**

Addressing: how to identify where a message should go

# **Directionality:**

- simplex (one-way)
- duplex (two-way)
- half-duplex (two-way, but only one way at a time)

# **Message Boundaries:**

datagram model: message boundaries

stream model: no boundaries

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### **Properties of Message Passing Mechanisms (cont'd)**

**Connections:** need to connect before communicating?

- in connection-oriented models, recipient is specified at time of connection, not by individual send operations. All messages sent over a connection have the same recipient.
- in connectionless models, recipient is specified as a parameter to each send operation.

## **Reliability:**

- can messages get lost?
- can messages get reordered?
- can messages get damaged?

#### Sockets

- a socket is a communication end-point
- if two processes are to communicate, each process must create its own socket
- two common types of sockets

**stream sockets:** support connection-oriented, reliable, duplex communication under the stream model (no message boundaries)

**datagram sockets:** support connectionless, best-effort (unreliable), duplex communication under the datagram model (message boundaries)

• both types of sockets also support a variety of address domains, e.g.,

**Unix domain:** useful for communication between processes running on the same machine

**INET domain:** useful for communication between process running on different machines that can communicate using IP protocols.

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### **Using Datagram Sockets (Receiver)**

```
s = socket(addressType, SOCK_DGRAM);
bind(s,address);
recvfrom(s,buf,bufLength,sourceAddress);
...
close(s);
```

- socket creates a socket
- bind assigns an address to the socket
- recvfrom receives a message from the socket
  - buf is a buffer to hold the incoming message
  - sourceAddress is a buffer to hold the address of the message sender
- both buf and sourceAddress are filled by the recvfrom call

# **Using Datagram Sockets (Sender)**

```
s = socket(addressType, SOCK_DGRAM);
sendto(s,buf,msgLength,targetAddress)
...
close(s);
```

- socket creates a socket
- sendto sends a message using the socket
  - buf is a buffer that contains the message to be sent
  - msgLength indicates the length of the message in the buffer
  - targetAddress is the address of the socket to which the message is to be delivered

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### **More on Datagram Sockets**

- sendto and recvfrom calls may block
  - recvfrom blocks if there are no messages to be received from the specified socket
  - sendto blocks if the system has no more room to buffer undelivered messages
- datagram socket communications are (in general) unreliable
  - messages (datagrams) may be lost
  - messages may be reordered
- The sending process must know the address of the receive process's socket. How does it know this?

A Socket Address Convention					
Service	Port	Description			
echo	7/udp				
systat	11/tcp				
netstat	15/tcp				
chargen	19/udp				
ftp	21/tcp				
ssh	22/tcp	# SSH Remote Login Protocol			
telnet	23/tcp				
smtp	25/tcp				
time	37/udp				
gopher	70/tcp	# Internet Gopher			
finger	79/tcp				
WWW	80/tcp	# WorldWideWeb HTTP			
pop2	109/tcp	# POP version 2			
imap2	143/tcp	# IMAP			

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### **Using Stream Sockets (Passive Process)**

```
s = socket(addressType, SOCK_STREAM);
bind(s,address);
listen(s,backlog);
ns = accept(s,sourceAddress);
recv(ns,buf,bufLength);
send(ns,buf,bufLength);
...
close(ns); // close accepted connection
close(s); // don't accept more connections
```

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- listen specifies the number of connection requests for this socket that will be queued by the kernel
- accept accepts a connection request and creates a new socket (ns)
- recv receives up to bufLength bytes of data from the connection
- send sends bufLength bytes of data over the connection.

### **Notes on Using Stream Sockets (Passive Process)**

- accept creates a new socket (ns) for the new connection
- sourceAddress is an address buffer. accept fills it with the address of the socket that has made the connection request
- additional connection requests can be accepted using more accept calls on the original socket (s)
- accept blocks if there are no pending connection requests
- connection is duplex (both send and recv can be used)

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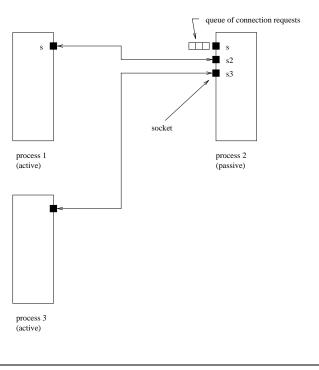
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### **Using Stream Sockets (Active Process)**

```
s = socket(addressType, SOCK_STREAM);
connect(s,targetAddress);
send(s,buf,bufLength);
recv(s,buf,bufLength);
...
close(s);
```

- connect sends a connection request to the socket with the specified address
  - connect blocks until the connection request has been accepted
- active process may (optionally) bind an address to the socket (using bind)
   before connecting. This is the address that will be returned by the accept call in the passive process
- if the active process does not choose an address, the system will choose one

### **Illustration of Stream Socket Connections**



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## **Pipes**

- pipes are communication objects (not end-points)
- pipes use the stream model and are connection-oriented and reliable
- some pipes are simplex, some are duplex
- pipes use an implicit addressing mechanism that limits their use to communication between *related* processes, typically a child process and its parent
- a pipe() system call creates a pipe and returns two descriptors, one for each end of the pipe
  - for a simplex pipe, one descriptor is for reading, the other is for writing
  - for a duplex pipe, both descriptors can be used for reading and writing

# One-way Child/Parent Communication Using a Simplex Pipe

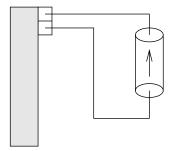
```
int fd[2];
char m[] = "message for parent";
char y[100];
pipe(fd); // create pipe
pid = fork(); // create child process
if (pid == 0) {
    // child executes this
    close(fd[0]); // close read end of pipe
    write(fd[1],m,19);
    ...
} else {
    // parent executes this
    close(fd[1]); // close write end of pipe
    read(fd[0],y,19);
    ...
}
```

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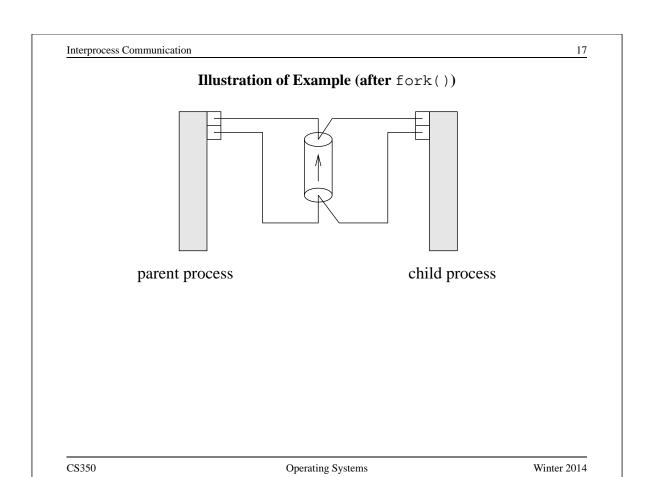
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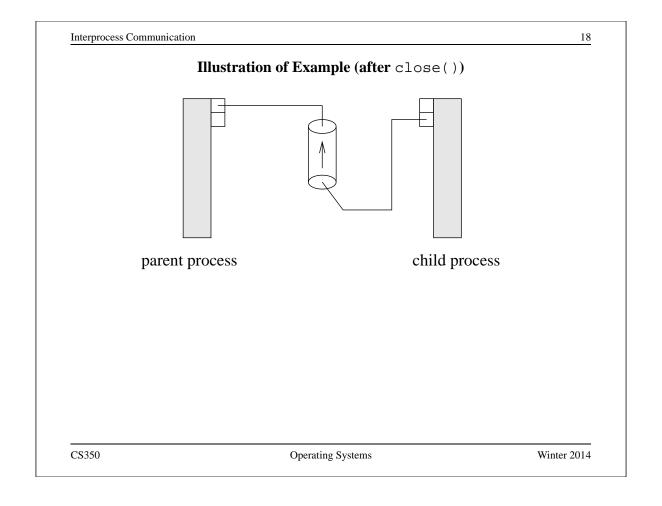
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# Illustration of Example (after pipe())



parent process





### **Examples of Other Interprocess Communication Mechanisms**

### named pipe:

- similar to pipes, but with an associated name (usually a file name)
- name allows arbitrary processes to communicate by opening the same named pipe
- must be explicitly deleted, unlike an unnamed pipe

### message queue:

- like a named pipe, except that there are message boundaries
- msgsend call sends a message into the queue, msgrecv call receives the next message from the queue

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### **Signals**

- signals permit asynchronous one-way communication
  - from a process to another process, or to a group of processes, via the kernel
  - from the kernel to a process, or to a group of processes
- there are many types of signals
- the arrival of a signal may cause the execution of a *signal handler* in the receiving process
- there may be a different handler for each type of signal

# **Examples of Signal Types**

Signal	Value	Action	Comment
SIGINT	2	Term	Interrupt from keyboard
SIGILL	4	Core	Illegal Instruction
SIGKILL	9	Term	Kill signal
SIGCHLD	20,17,18	Ign	Child stopped or terminated
SIGBUS	10,7,10	Core	Bus error
SIGXCPU	24,24,30	Core	CPU time limit exceeded
SIGSTOP	17,19,23	Stop	Stop process

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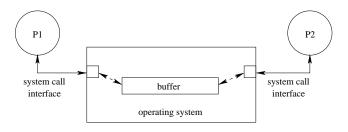
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# **Signal Handling**

- operating system determines default signal handling for each new process
- example default actions:
  - ignore (do nothing)
  - kill (terminate the process)
  - stop (block the process)
- a running process can change the default for some types of signals
- signal-related system calls
  - calls to set non-default signal handlers, e.g., Unix signal, sigaction
  - calls to send signals, e.g., Unix kill

### **Implementing IPC**

- application processes use descriptors (identifiers) provided by the kernel to refer to specific sockets and pipes, as well as files and other objects
- kernel *descriptor tables* (or other similar mechanism) are used to associate descriptors with kernel data structures that implement IPC objects
- kernel provides bounded buffer space for data that has been sent using an IPC mechanism, but that has not yet been received
  - for IPC objects, like pipes, buffering is usually on a per object basis
  - IPC end points, like sockets, buffering is associated with each endpoint



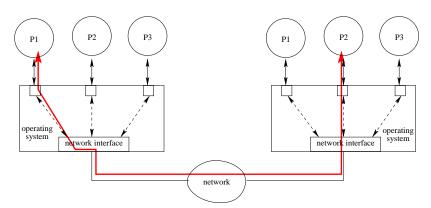
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### **Network Interprocess Communication**

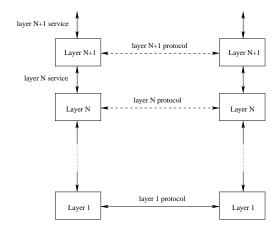
- some sockets can be used to connect processes that are running on different machines
- the kernel:
  - controls access to network interfaces
  - multiplexes socket connections across the network



### **Networking Reference Models**

• ISO/OSI Reference Model

7	Application Layer	
6	Presentation Layer	
5	Session Layer	
4	Transport Layer	
3	Network Layer	
2	Data Link Layer	
1	Physical Layer	



- Internet Model
  - layers 1-4 and 7

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## **Internet Protocol (IP): Layer 3**

- every machine has one (or more) IP address, in addition to its data link layer address(es)
- In IPv4, addresses are 32 bits, and are commonly written using "dot" notation, e.g.:
  - cpu06.student.cs = 129.97.152.106
  - www.google.ca = 216.239.37.99 or 216.239.51.104 or ...
- IP moves packets (datagrams) from one machine to another machine
- principal function of IP is *routing*: determining the network path that a packet should take to reach its destination
- IP packet delivery is "best effort" (unreliable)

# **IP Routing Table Example**

• Routing table for zonker.uwaterloo.ca, which is on three networks, and has IP addresses 129.97.74.66, 172.16.162.1, and 192.168.148.1 (one per network):

Destination	Gateway	Interface
172.16.162.*	-	vmnet1
129.97.74.*	-	eth0
192.168.148.*	-	vmnet8
default	129.97.74.1	eth0

• routing table key:

destination: ultimate destination of packet

gateway: next hop towards destination (or "-" if destination is directly

reachable)

interface: which network interface to use to send this packet

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### **Internet Transport Protocols**

TCP: transport control protocol

- connection-oriented
  - reliable
  - stream
  - congestion control
  - used to implement INET domain stream sockets

**UDP:** user datagram protocol

- connectionless
- unreliable
- datagram
- no congestion control
- used to implement INET domain datagram sockets

### **TCP and UDP Ports**

- since there can be many TCP or UDP communications end points (sockets) on a single machine, there must be a way to distinguish among them
- each TCP or UDP address can be thought of as having two parts:

(machine name, port number)

- The machine name is the IP address of a machine, and the port number serves to distinguish among the end points on that machine.
- INET domain socket addresses are TCP or UDP addresses (depending on whether the socket is a stream socket or a datagram socket).

