Review: Program Execution

- Registers
 - program counter, stack pointer, ...
- Memory
 - program code
 - program data
 - program stack containing procedure activation records
- CPU
 - fetches and executes instructions

CS350 Operating Systems Winter 2016

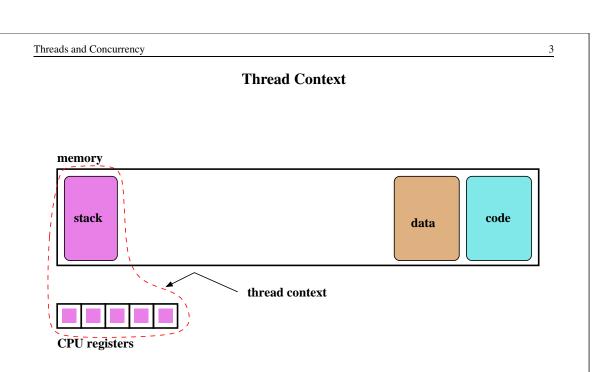
Threads and Concurrency

2

What is a Thread?

- A thread represents the control state of an executing program.
- A thread has an associated *context* (or state), which consists of
 - the processor's CPU state, including the values of the program counter (PC), the stack pointer, other registers, and the execution mode (privileged/non-privileged)
 - a stack, which is located in the address space of the thread's process

Imagine that you would like to suspend the program execution, and resume it again later. Think of the thread context as the information you would need in order to restart program execution from where it left off when it was suspended.



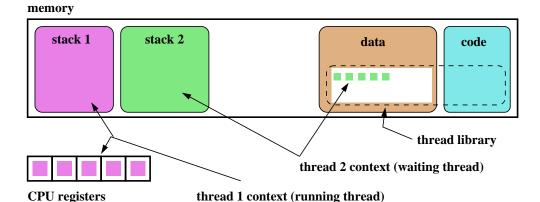
CS350 Operating Systems Winter 2016

Threads and Concurrency 4

Concurrent Threads

- more than one thread may exist simultaneously (why might this be a good idea?)
- each thread has its own context, though they share access to program code and data
- on a uniprocessor (one CPU), at most one thread is actually executing at any time. The others are paused, waiting to resume execution.
- on a multiprocessor, multiple threads may execute at the same time, but if there are more threads than processors then some threads will be paused and waiting

Two Threads, One Running



CS350 Operating Systems Winter 2016

Threads and Concurrency

Thread Interface (Partial), With OS/161 Examples

6

- a thread library implements threads
- thread library provides a thread interface, used by program code to manipulate threads
- common thread interface functions include
 - create new thread

- end (and destroy) the current thread

void thread_exit(void);

- cause current thread to yield (to be discussed later) void thread_yield(void);

• see kern/include/thread.h

Example: Creating Threads Using thread_fork()

```
for (index = 0; index < NumMice; index++) {
  error = thread_fork("mouse_simulation thread",
    NULL, mouse_simulation, NULL, index);
  if (error) {
    panic("mouse_simulation: thread_fork failed: %s\n",
        strerror(error));
  }
}
/* wait for all of the cats and mice to finish */
for(i=0;i<(NumCats+NumMice);i++) {
  P(CatMouseWait);
}</pre>
```

What kern/synchprobs/catmouse.c actually does is slightly more elaborate than this.

CS350 Operating Systems Winter 2016

Threads and Concurrency

8

Example: Concurrent Mouse Simulation Threads (simplified)

Context Switch, Scheduling, and Dispatching

- the act of pausing the execution of one thread and resuming the execution of another is called a *(thread) context switch*
- what happens during a context switch?
 - 1. decide which thread will run next
 - 2. save the context of the currently running thread
 - 3. restore the context of the thread that is to run next
- the act of saving the context of the current thread and installing the context of the next thread to run is called *dispatching* (the next thread)
- sounds simple, but . . .
 - architecture-specific implementation
 - thread must save/restore its context carefully, since thread execution continuously changes the context
 - can be tricky to understand (at what point does a thread actually stop? what is it executing when it resumes?)

CS350 Operating Systems Winter 2016

Threads and Concurrency

10

Scheduling

- scheduling means deciding which thread should run next
- scheduling is implemented by a *scheduler*, which is part of the thread library
- simple *round robin* scheduling:
 - scheduler maintains a queue of threads, often called the *ready queue*
 - the first thread in the ready queue is the running thread
 - on a context switch the running thread is moved to the end of the ready queue, and new first thread is allowed to run
 - newly created threads are placed at the end of the ready queue
- more on scheduling later . . .

Causes of Context Switches

- a call to **thread_yield** by a running thread
 - running thread *voluntarily* allows other threads to run
 - yielding thread remains runnable, and on the ready queue
- a call to **thread_exit** by a running thread
 - running thread is terminated
- running thread *blocks*, via a call to wchan_sleep
 - thread is no longer runnable, moves off of the ready queue and into a wait channel
 - more on this later . . .
- running thread is preempted
 - running thread involuntarily stops running
 - remains runnable, and on the ready queue

CS350 Operating Systems Winter 2016

Threads and Concurrency

12

Preemption

- without preemption, a running thread could potentially run forever, without yielding, blocking, or exiting
- to ensure *fair* access to the CPU for all threads, the thread library may preempt a running thread
- to implement preemption, the thread library must have a means of "getting control" (causing thread library code to be executed) even though the running thread has not called a thread library function
- this is normally accomplished using interrupts

Review: Interrupts

- an interrupt is an event that occurs during the execution of a program
- interrupts are caused by system devices (hardware), e.g., a timer, a disk controller, a network interface
- when an interrupt occurs, the hardware automatically transfers control to a fixed location in memory
- at that memory location, the thread library places a procedure called an *interrupt handler*
- the interrupt handler normally:
 - 1. saves the current thread context (in OS/161, this is saved in a *trap frame* on the current thread's stack)
 - 2. determines which device caused the interrupt and performs device-specific processing
 - 3. restores the saved thread context and resumes execution in that context where it left off at the time of the interrupt.

CS350 Operating Systems Winter 2016

Threads and Concurrency 14

Preemptive Round-Robin Scheduling

- In preemptive round-robin scheduling, the thread library imposes a limit on the amount of time that a thread can run before being preempted
- the amount of time that a thread is allocated is called the scheduling quantum
- when the running thread's quantum expires, it is preempted and moved to the back of the ready queue. The thread at the front of the ready queue is dispatched and allowed to run.
- the quantum is an *upper bound* on the amount of time that a thread can run once it has been dispatched
- the dispatched thread may run for less than the scheduling quantum if it yields, exits, or blocks before its quantum expires

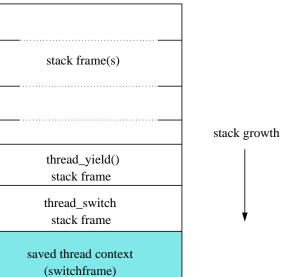
Implementing Preemptive Scheduling

- suppose that the system timer generates an interrupt every t time units, e.g., once every millisecond
- suppose that the thread library wants to use a scheduling quantum q = 500t, i.e., it will preempt a thread after half a second of execution
- to implement this, the thread library can maintain a variable called running_time to track how long the current thread has been running:
 - when a thread is intially dispatched, running_time is set to zero
 - when an interrupt occurs, the timer-specific part of the interrupt handler can increment running_time and then test its value
 - * if running_time is less than q, the interrupt handler simply returns and the running thread resumes its execution
 - * if running_time is equal to q, then the interrupt handler invokes thread_yield to cause a context switch

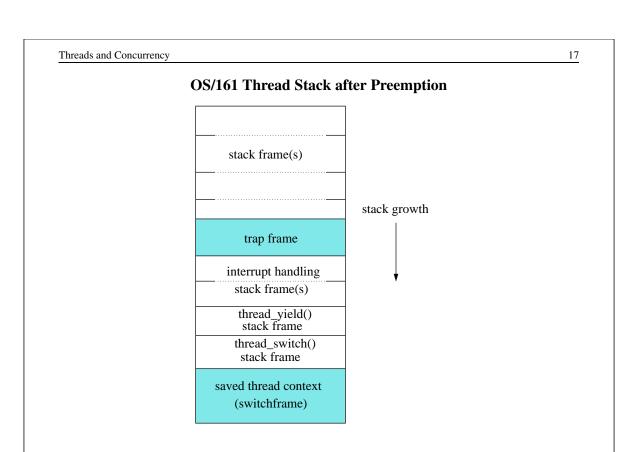
CS350 Operating Systems Winter 2016

Threads and Concurrency 16

OS/161 Thread Stack after Voluntary Context Switch (thread_yield())



CS350 Winter 2016 Operating Systems



Operating Systems

Winter 2016

Implementing Threads

• the thread library is responsibile for implementing threads

CS350

- the thread library stores threads' contexts (or pointers to the threads' contexts) when they are not running
- the data structure used by the thread library to store a thread context is sometimes called a *thread control block*

In the OS/161 kernel's thread implementation, thread contexts are stored in thread structures.

The OS/161 thread Structure

```
/* see kern/include/thread.h */
struct thread {
char *t_name;
                          /* Name of this thread */
const char *t_wchan_name; /* Wait channel name, if sleeping */
threadstate_t t_state; /* State this thread is in */
/* Thread subsystem internal fields. */
struct thread_machdep t_machdep; /* Any machine-dependent goo */
struct threadlistnode t_listnode; /* run/sleep/zombie lists */
                               /* Kernel-level stack */
void *t_stack;
struct switchframe *t_context; /* Register context (on stack) */
struct cpu *t_cpu;
                              /* CPU thread runs on */
struct proc *t_proc;
                              /* Process thread belongs to */
```

CS350 Operating Systems Winter 2016

Threads and Concurrency

20

Review: MIPS Register Usage

```
R0,
     zero = ## zero (always returns 0)
         = ## reserved for use by assembler
R1,
R2,
          = ## return value / system call number
R3,
    v1
         = ## return value
R4,
     a0
         = ## 1st argument (to subroutine)
R5,
     a1 = \#\# 2nd argument
R6,
     a2
         = ## 3rd argument
R7,
     a3
          = ## 4th argument
```

Review: MIPS Register Usage

```
R08-R15, t0-t7 = \#\# temps (not preserved by subroutines)
R24-R25,
          t8-t9 = \#\# \text{ temps (not preserved by subroutines)}
                   ##
                        can be used without saving
R16-R23, s0-s7 = \#\# preserved by subroutines
                   ##
                        save before using,
                        restore before return
                   ##
R26-27,
          k0-k1 = \#\# reserved for interrupt handler
                = ## global pointer
R28,
          qр
                   ## (for easy access to some variables)
R29,
                = ## stack pointer
          sp
R30,
          s8/fp = ## 9th subroutine reg / frame pointer
R31,
          ra = ## return addr (used by jal)
```

CS350 Operating Systems Winter 2016

Threads and Concurrency 22

Dispatching on the MIPS (1 of 2)

```
/* See kern/arch/mips/thread/switch.S */
switchframe_switch:
 /* a0: address of switchframe pointer of old thread. */
 /* a1: address of switchframe pointer of new thread. */
   /* Allocate stack space for saving 10 registers. 10*4 = 40 */
  addi sp, sp, -40
        ra, 36(sp)
                   /* Save the registers */
   SW
   SW
        gp, 32(sp)
        s8, 28(sp)
   SW
        s6, 24(sp)
   SW
        s5, 20(sp)
   SW
        s4, 16(sp)
   SW
        s3, 12(sp)
   SW
   SW
       s2, 8(sp)
   SW
       s1, 4(sp)
        s0, 0(sp)
   /* Store the old stack pointer in the old thread */
        sp, 0(a0)
   SW
```

Dispatching on the MIPS (2 of 2)

```
/* Get the new stack pointer from the new thread */
lw
     sp, 0(a1)
              /* delay slot for load */
nop
/* Now, restore the registers */
     s0, 0(sp)
lw
     s1, 4(sp)
lw
     s2, 8(sp)
lw
     s3, 12(sp)
lw
   s4, 16(sp)
     s5, 20(sp)
lw
     s6, 24(sp)
lw
lw
     s8, 28(sp)
lw
     gp, 32(sp)
lw
     ra, 36(sp)
                      /* delay slot for load */
nop
/* and return. */
j ra
addi sp, sp, 40
                      /* in delay slot */
.end switchframe_switch
```

CS350 Operating Systems Winter 2016

Threads and Concurrency

Dispatching on the MIPS (Notes)

24

- Not all of the registers are saved during a context switch
- This is because the context switch code is reached via a call to thread_switch and by convention on the MIPS not all of the registers are required to be preserved across subroutine calls
- thus, after a call to switchframe_switch returns, the caller (thread_switch) does not expect all registers to have the same values as they had before the call to save time, those registers are not preserved by the switch
- if the caller wants to reuse those registers it must save and restore them